

## **Sources** – important characteristics

- 1) Spectral distribution i.e., intensity vs.  $\lambda$   
(continuum vs. line sources)
- 2) Intensity
- 3) Stability – short term fluctuations  
(noise), long term drift
- 4) Cost
- 5) Lifetime
- 6) Geometry – match to dispersion device

# I) CONTINUUM SOURCES

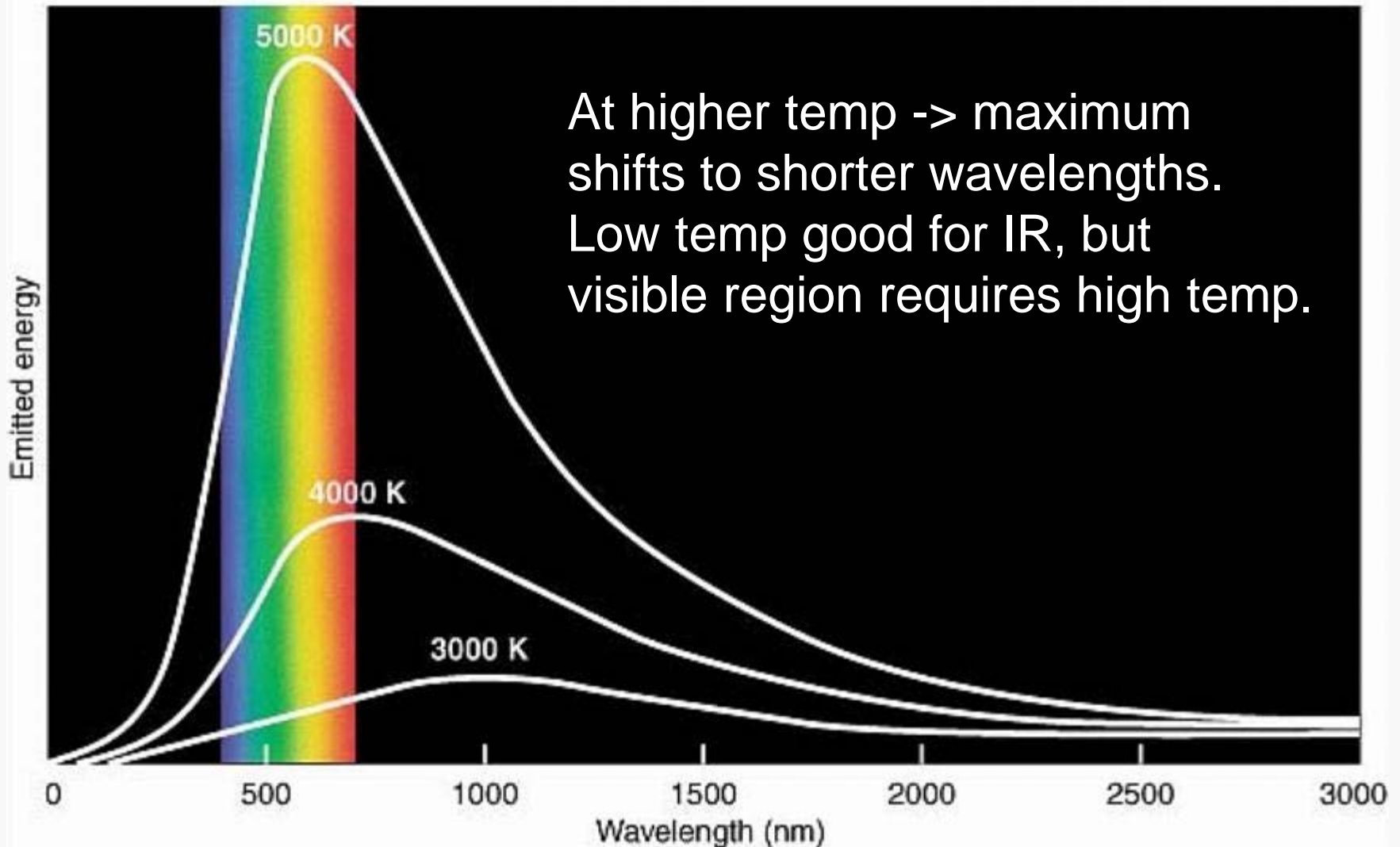
- 1) Thermal radiation (incandescence) – heated solid emits radiation close to the theoretical “Black Body” radiation i.e., perfect emitter, perfect absorber

## Behavior of Black Body

- Total power  $\sim T^4$  therefore need constant temperature for stability when using incandescent sources
- Spectral distribution follows Planck’s radiation law

# Spectral Distribution Curves of a Tungsten (Black Body) Lamp

UV      vis      IR



**IR Region** thermal sources (Black Body) are:

- a) Nernst Glower – fused mixture of  $\text{ZrO}_2$ ,  $\text{Y}_2\text{O}_3$ , and  $\text{ThO}_2$  normally operated at  $1900\text{ }^\circ\text{C}$  – better for shorter IR  $\lambda$ 's (near IR)
- b) Globar – silicon carbide normally operated at  $1200$  to  $1400\text{ }^\circ\text{C}$  – better at longer IR  $\lambda$ 's (doesn't approach Black Body)
- c) Incandescent Wire – e.g., nichrome wire – cheapest way

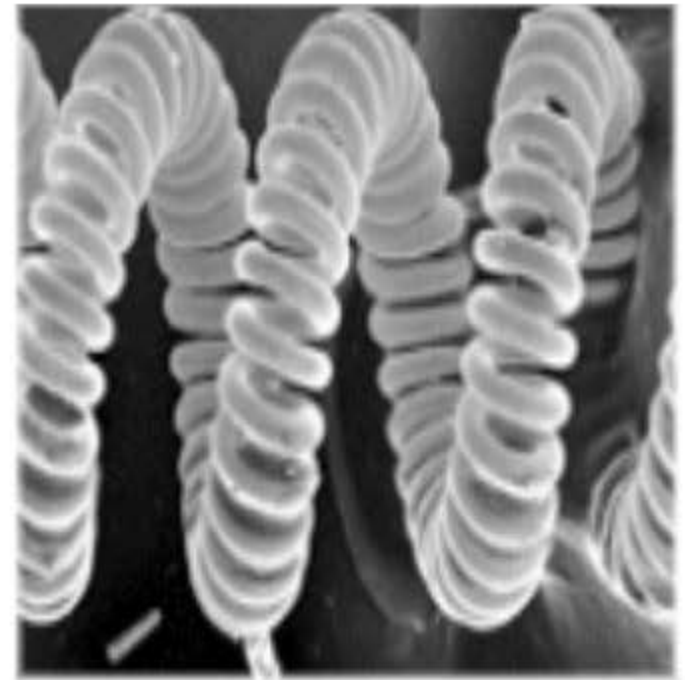
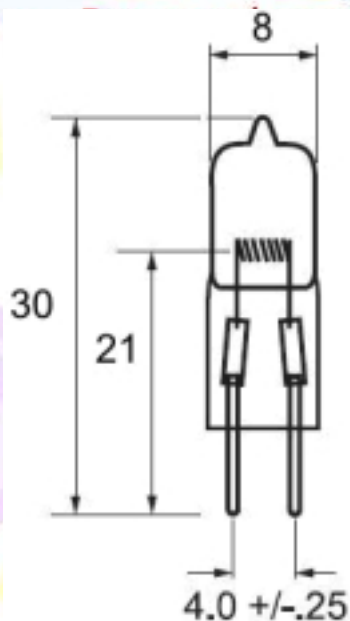
- All operated at relatively low temperature.
- Good for IR and give some visible emission.
- Operated in air so will burn up if temp goes too high

## Advantages

- Nernst Glower – low power consumption, operates in air, long lifetime
- Globar – more stable than Nernst Glower, requires more power & must be cooled. Long lifetime, but resistance changes with use

# Incandescent Wire Source

- Lower intensity IR source but longer life than the Globar or Nernst glower.
- A tightly wound spiral of nichrome wire heated to about 1100 k by an electric current.
- A similar source is a rhodium wire heater sealed in a ceramic cylinder.



## Visible Region sources are:

- a) Glass enclosed Tungsten (W) filament - normally operated at  $\sim 3000$  °K with inert atmosphere to prevent oxidation. Useful from 350 nm to 2000 nm, below 350 nm glass envelope absorbs & emission weak
- b) Tungsten-Halogen lamps - can be operated as high as 3500 °K. More intense (high flux). Function of halogen is to form volatile tungsten-halide which redeposits W on filament, i.e., keeps filament from burning out. Requires quartz envelope to withstand high temps (which also transmits down to shorter wavelengths). Fingerprints are a problem – also car headlights

**2) Gas Discharge Lamps** – two electrodes with a current between them in a gas filled tube. Excitation results from electrons moving through gas. Electrons collide with gas → excitation → emission

At high pressure → “smearing” of energy levels → spectrum approaches continuum

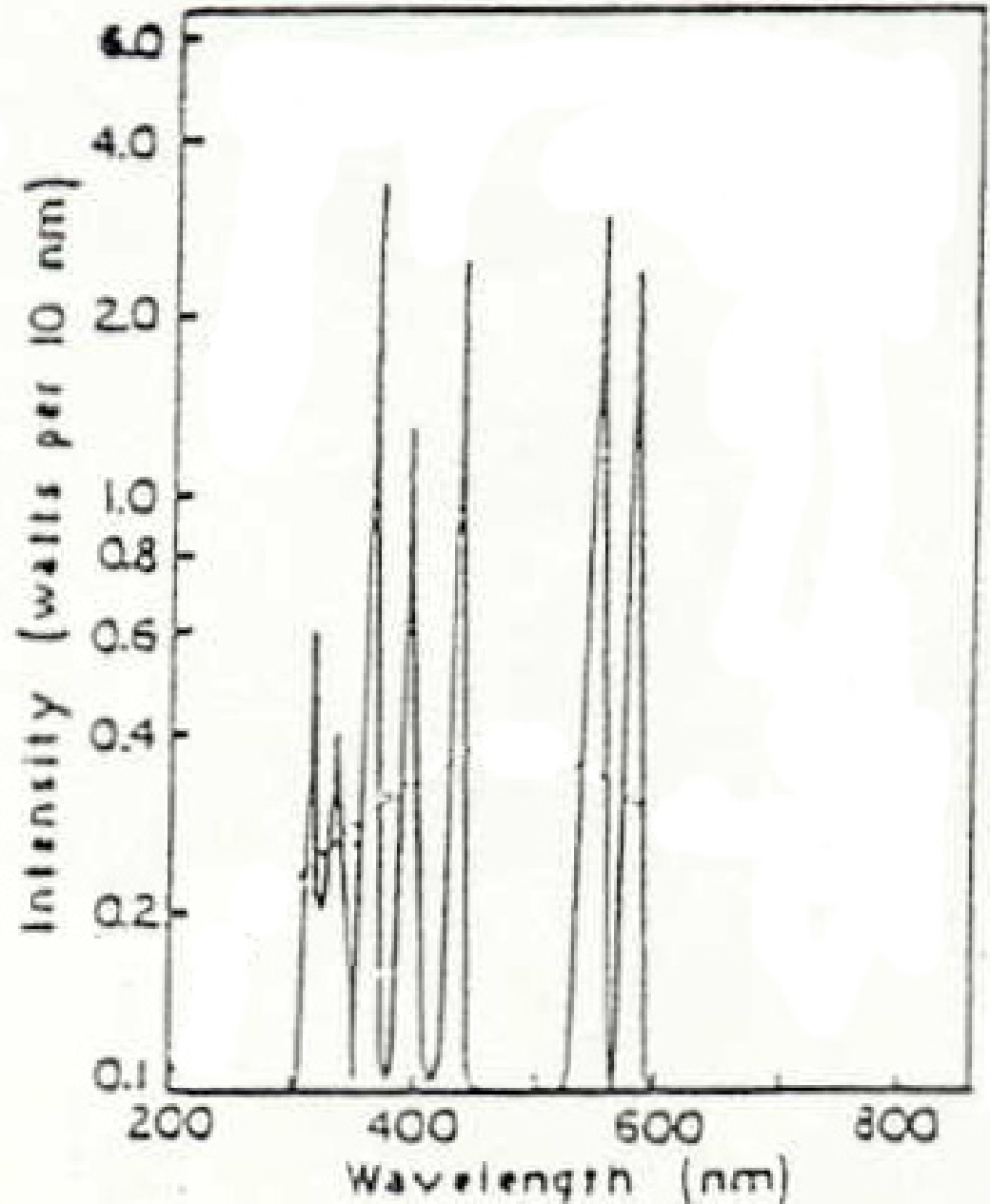
The higher the pressure, the greater the probability that any given molecule or atom will be perturbed by its neighbor at the moment of emission.



a) Hydrogen Lamp  
- most common  
source for UV  
absorption  
measurements

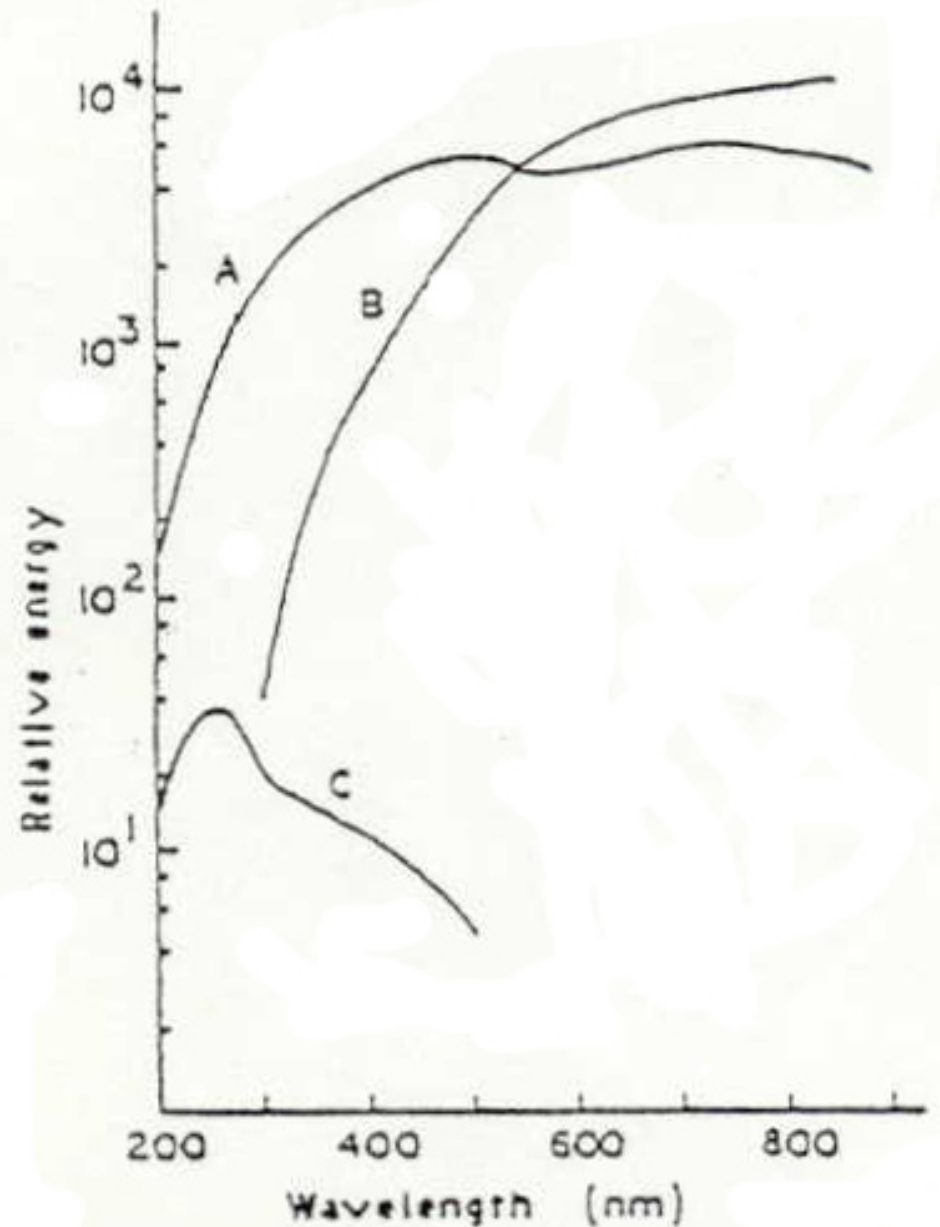
H<sub>2</sub> emission is from  
180 nm to 370 nm  
limited by jacket

Line spectrum from →  
100 watt Hydrogen  
Lamp at low pressure in  
Pyrex



b) Deuterium Lamp – same  $\lambda$  distribution as  $H_2$  but with higher intensity (3 to 5 times) -  $D_2$  is a heavier molecule & moves slower so there is less loss of energy by collisions

High pressure  $D_2 \rightarrow$  with quartz jacket

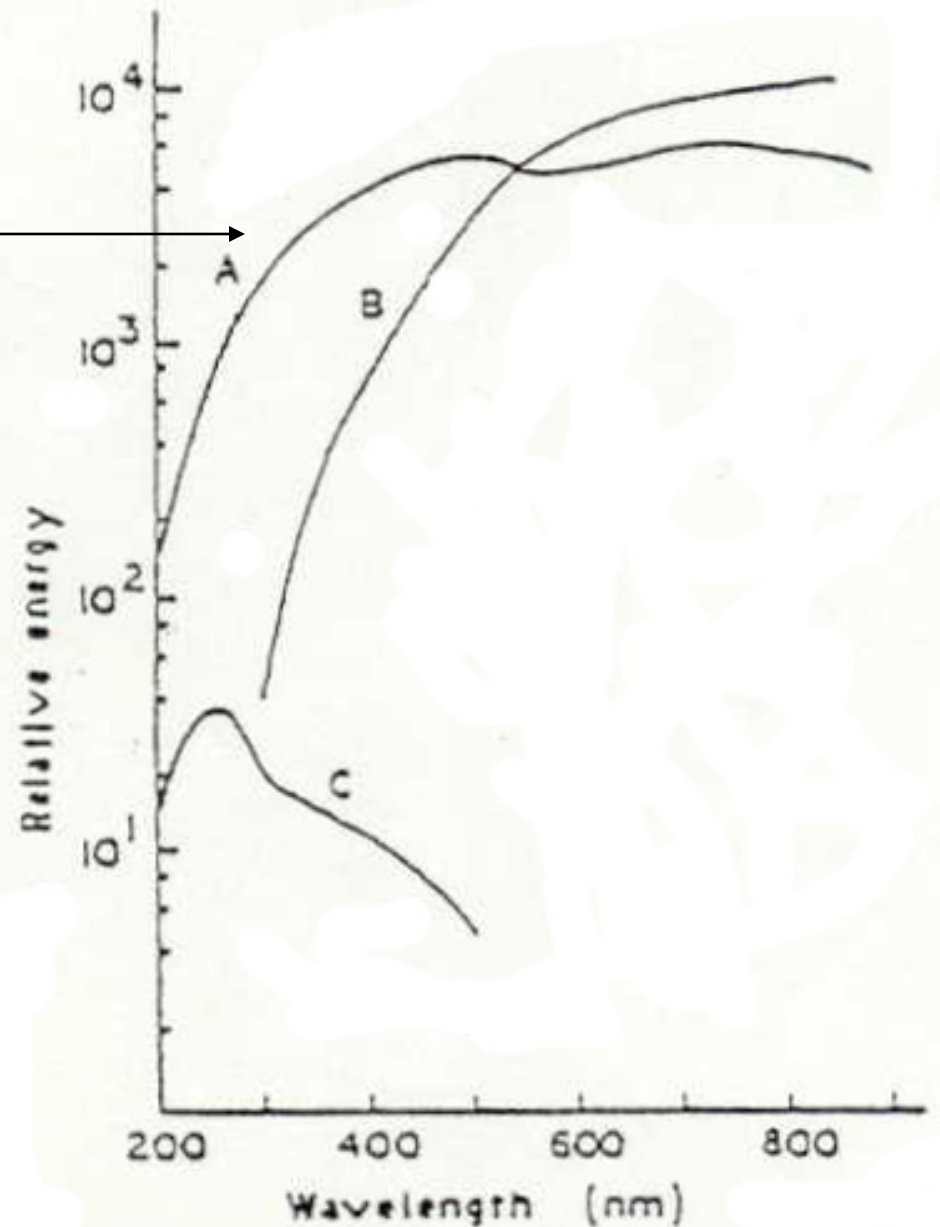


Relative output of various lamp  
A - Xenon B - Tungsten C - Deuterium

For higher intensity

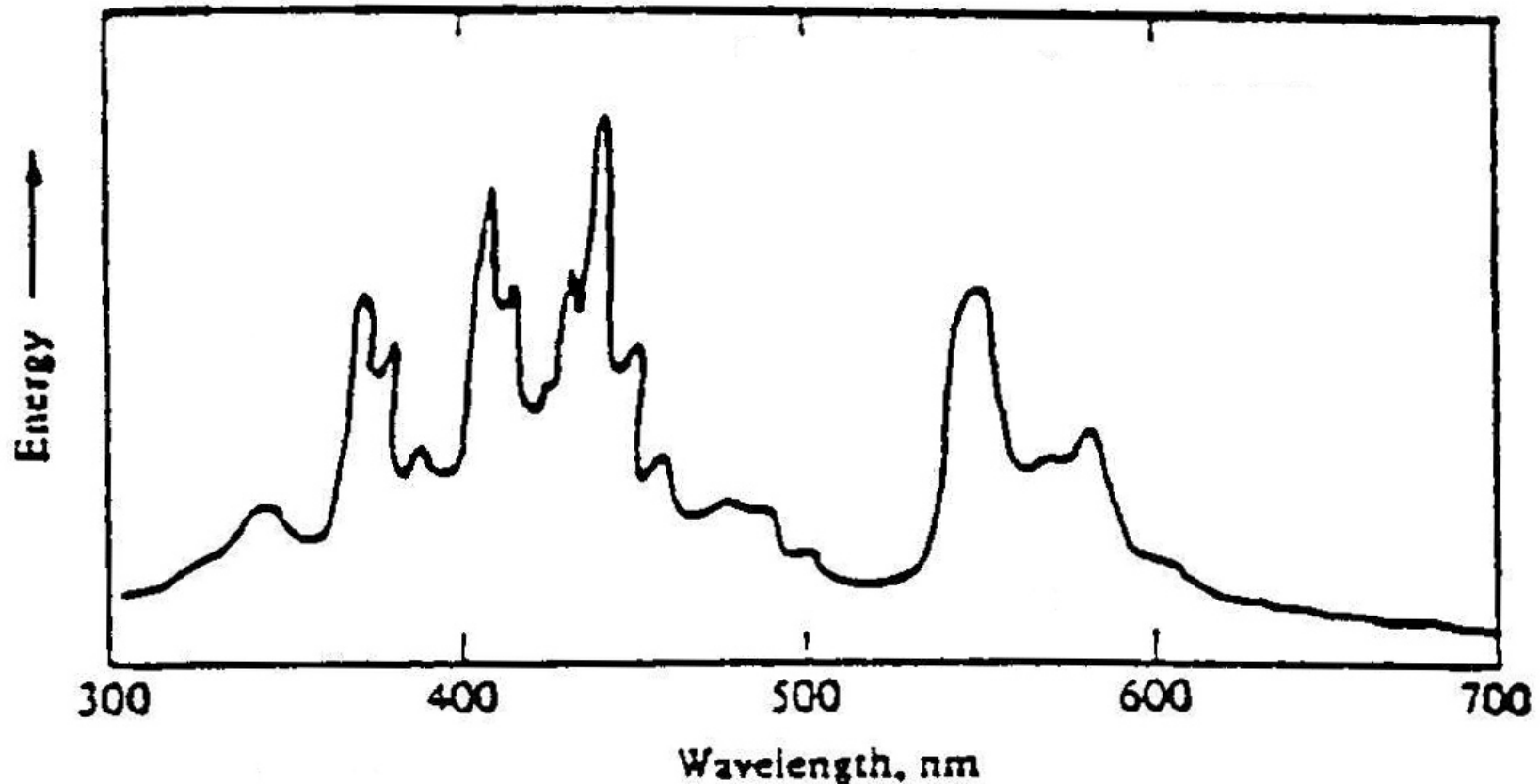
c) Xenon Lamp – Xe  
at high pressure  
(10-20 atm)

- high pressure needed to get lots of collisions for broadening leading to continuum
- short life relatively
- arc wander (stabilize)
- need jolt to start
- output = f(time)



Relative output of various lamp  
A - Xenon B - Tungsten C - Deuterium

d) High Pressure Mercury Lamp – can't completely eliminate bands associated with particular electronic transitions even at very high pressures (e.g., 100 atm)



- For UV-vis absorption spectrophotometry usually use H<sub>2</sub> for UV and tungsten for visible region (switching mid scan)
- Sometimes use D<sub>2</sub> instead of H<sub>2</sub>
- For fluorescence spectrophotometry use xenon arc lamp in scanning instruments
- Can use He below 200 nm
- Hg at low pressure is used in fixed wavelength (non scanning) fluorometers
- Can use mixture of Hg and Xe

# I) **CONTINUUM SOURCES (review)**

## 1) **Thermal radiation** (incandescence)

IR Region

- a) Nernst Glower      b) Globar
- c) Incandescent Wire

Visible Region

- a) Tungsten filament      b) Tungsten-Halogen

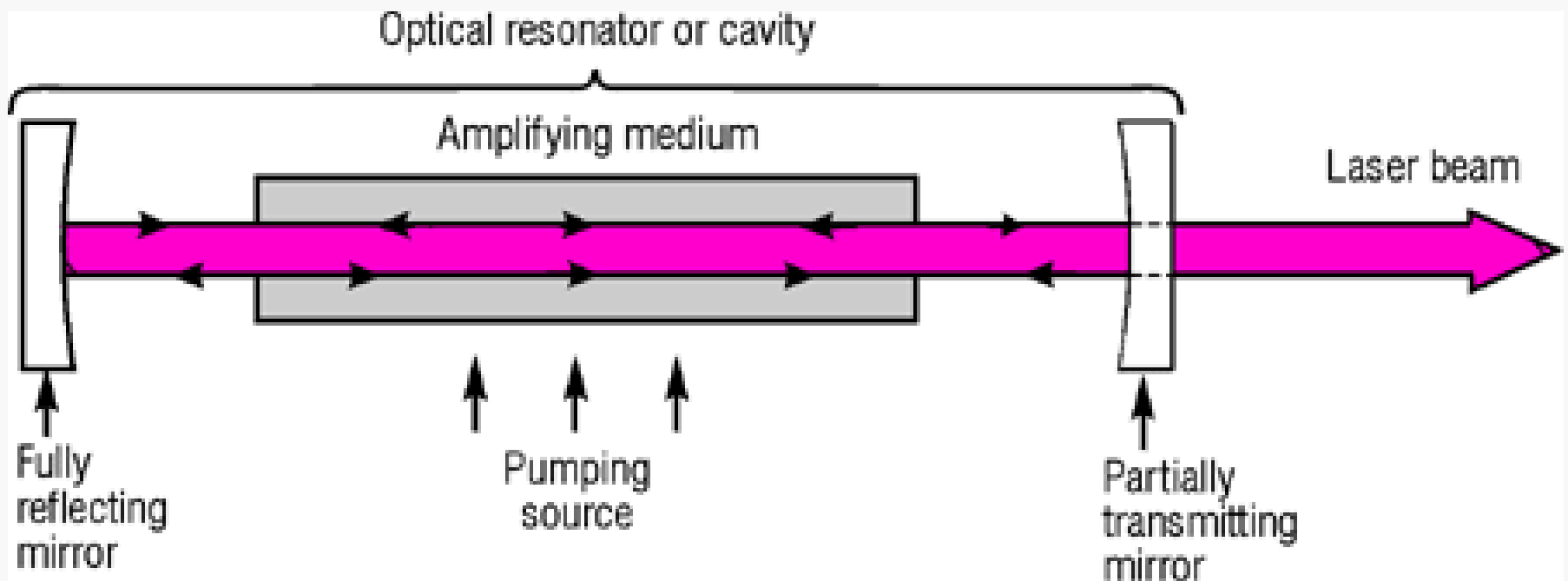
## 2) **Gas Discharge Lamps** (High Pressure)

- a) Hydrogen Lamp      b) Deuterium Lamp
- c) Xenon Arc Lamp      d) Mercury Lamp

## II) LINE SOURCES

- 1) **Gas (Vapor) Discharge Lamps** at low pressure (i.e., few torr) – minimize collisional interaction so get line spectrum
  - most common are Hg and Na
  - often used for  $\lambda$  calibration
  - Hg pen lamp
  - fluorescent lights are another example
  - also used UV detectors for HPLC
- 2) **Hollow Cathode Lamps (HCL)** – for AA
- 3) **Electrodeless Discharge Lamps (EDL)** - AA

- 4) **Lasers (Light Amplification by Stimulated Emission of Radiation)** – start with material that will exhibit stimulated emission and populate upper states typically using another light source





Pumping source used to populate upper states  
can be flashlamp or another laser

Often use prism to select pumping wavelength

## Advantages of lasers

- 1) Intense
- 2) Monochromatic – very narrow band
- 3) Coherent – all radiation at same phase  
angle
- 4) Directional – full intensity emitted as beam

## Limitations of lasers

- 1) High cost in many cases
- 2) Wavelength range is somewhat limited
- 3) Many operate in pulsed mode – some are continuous wave (CW)

Pulsed mode lasers are not always problematic as light sources, can use pulse frequency with gated detection

# Types of Lasers:

## a) Solid State Lasers

1) Ruby laser –  $\text{Al}_2\text{O}_3 + \text{Cr(III)}$  - 694.3 nm pumped with Xe arc flashlamp – pulsed (can be continuous)

2) Nd/YAG laser – yttrium aluminum garnet + Nd - 1064 nm

## b) Gas Lasers

1) Neutral atom – He-Ne – 632.8 nm continuous

2) Ion lasers –  $\text{Ar}^+$  or  $\text{Kr}^+$  514.5 nm

3) Molecular lasers – CO<sub>2</sub> (10,000 nm = 1000 cm<sup>-1</sup>) or N<sub>2</sub> (337.1 nm) pulsed

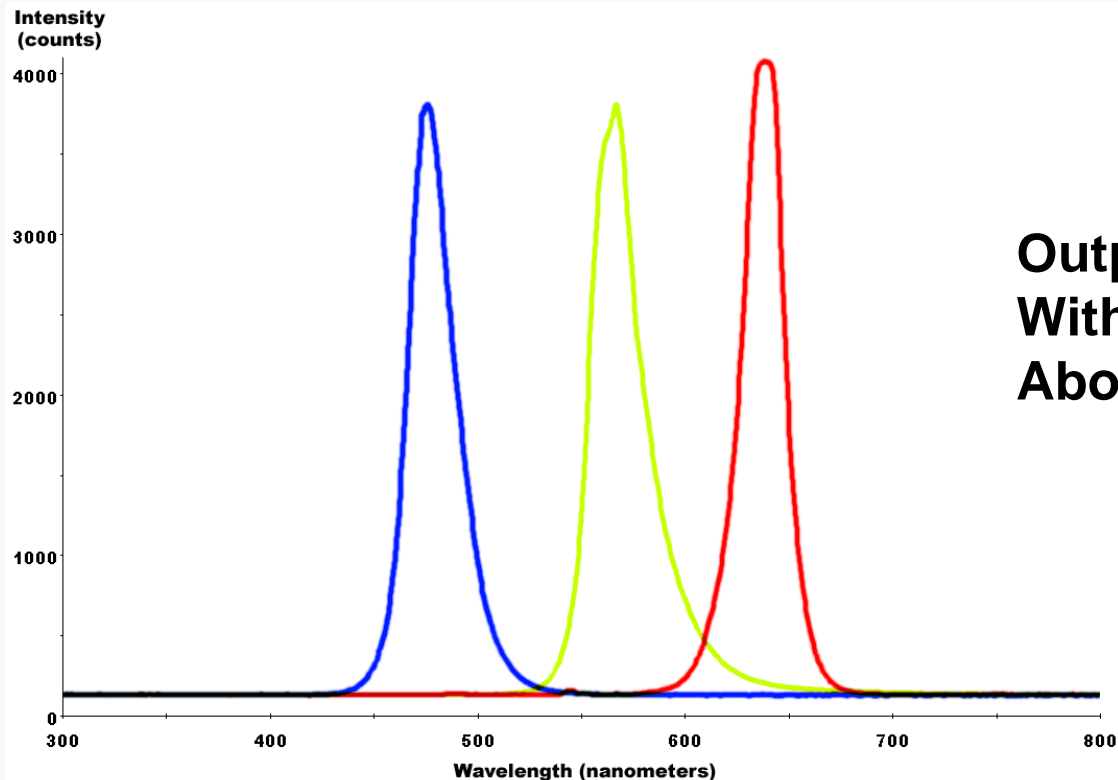
4) Eximer lasers – inert gas + fluorine creates eximers ArF<sup>+</sup> (193 nm), KrF<sup>+</sup> (248 nm), XeF<sup>+</sup> (351) pulsed

**c) Dye Lasers** – tunable over 20 – 50 nm many dyes available for wide range of  $\lambda$ 's

**d) Semiconductor Diode Lasers** – wide range of  $\lambda$ 's available, continuous

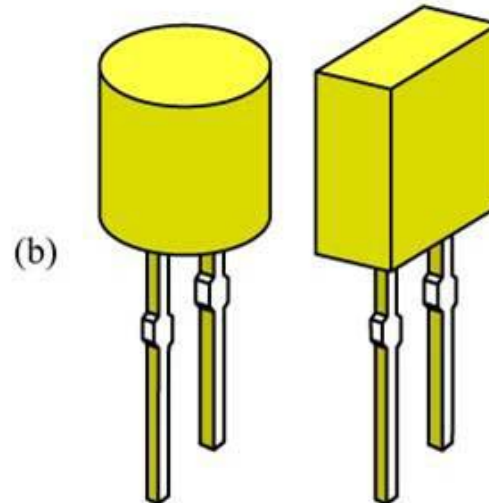
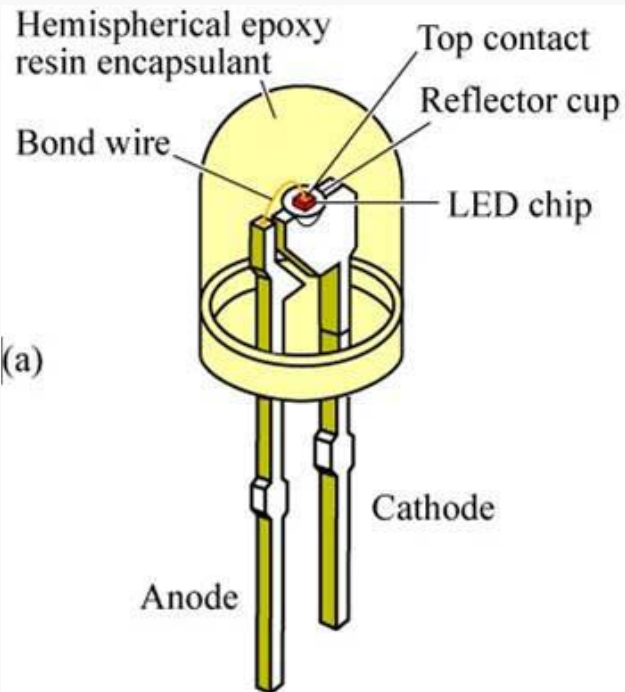
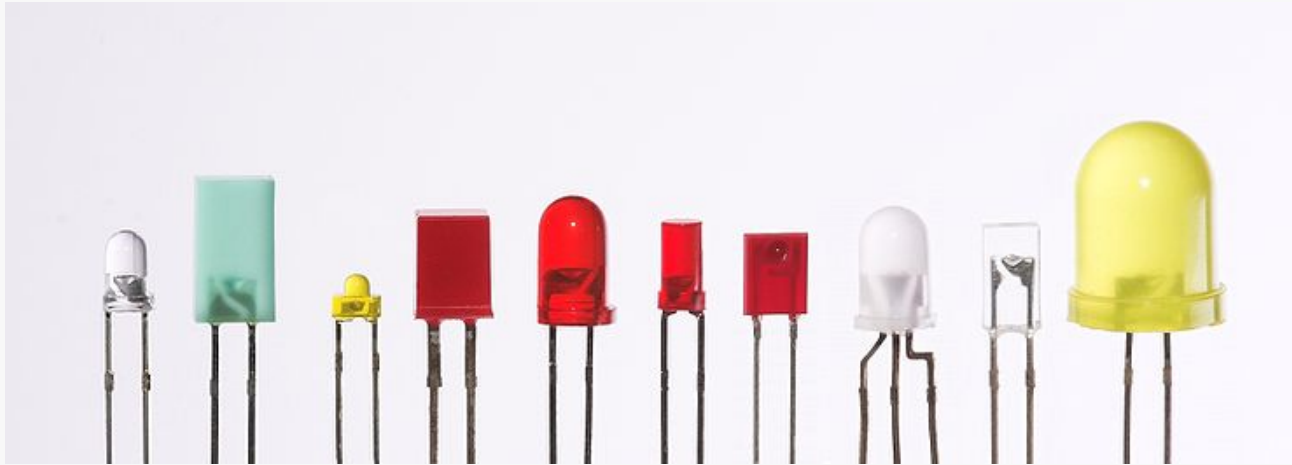
## 5) Light Emitting Diodes (LEDs)

- Semiconductor device that very efficiently produces light as a line source



**Output of 3 LEDs  
With bandwidths of  
About 25 nm**

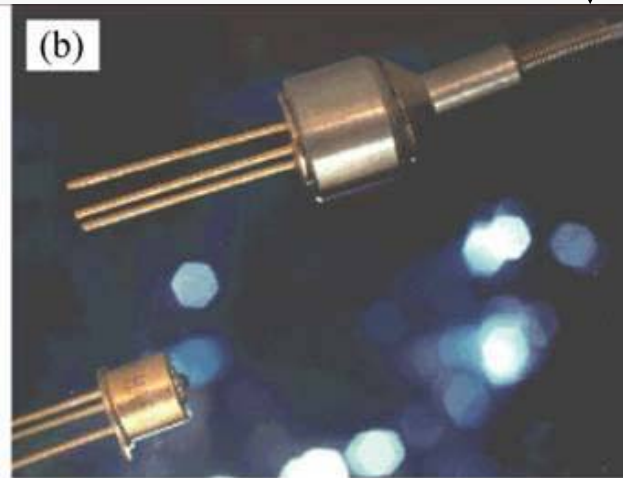
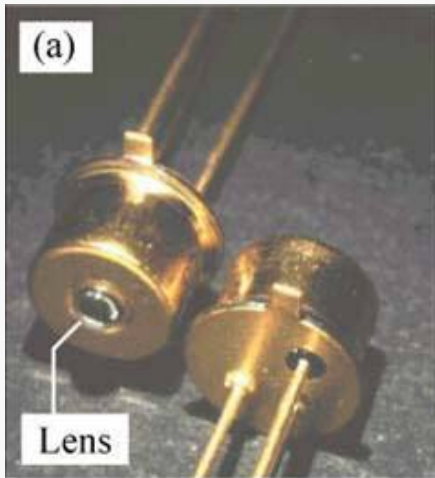
# LED Packages



Typical packages; (a) LED with hemispherical encapsulant; (b) LEDs with cylindrical and rectangular encapsulant.

# Older Communications LED

Fiber optic pig tail

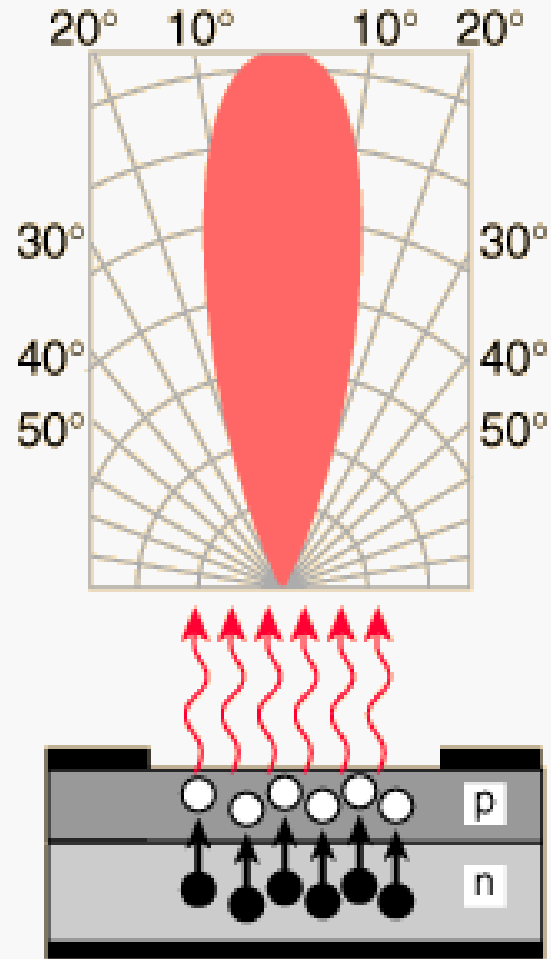


(a) Packaged (TO package) RCLED emitting at 650 nm suited for plastic optical fiber applications. (b) Pig-tailed RCLED (courtesy of Mitel Corporation, Sweden, 1999).

E. F. Schubert  
*Light-Emitting Diodes* (Cambridge Univ. Press)  
[www.LightEmittingDiodes.org](http://www.LightEmittingDiodes.org)

# LED Radiation Patterns

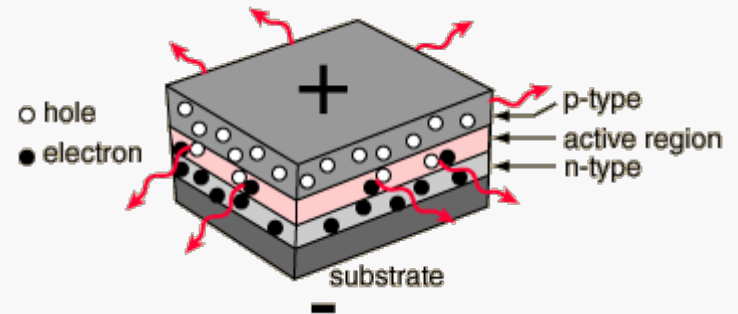
An LED is a directional light source, with the maximum emitted power in the direction perpendicular to the emitting surface. The typical radiation pattern shows that most of the energy is emitted within  $20^\circ$  of the direction of maximum light. Some packages for LEDs include plastic lenses to spread the light for a greater angle of visibility.



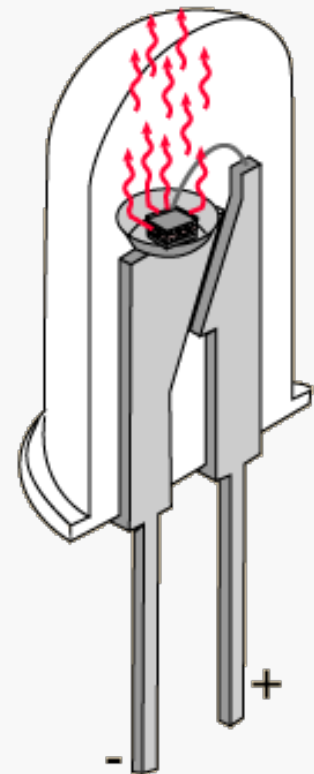


# LED Device Structure

(Edge Emitting LED)

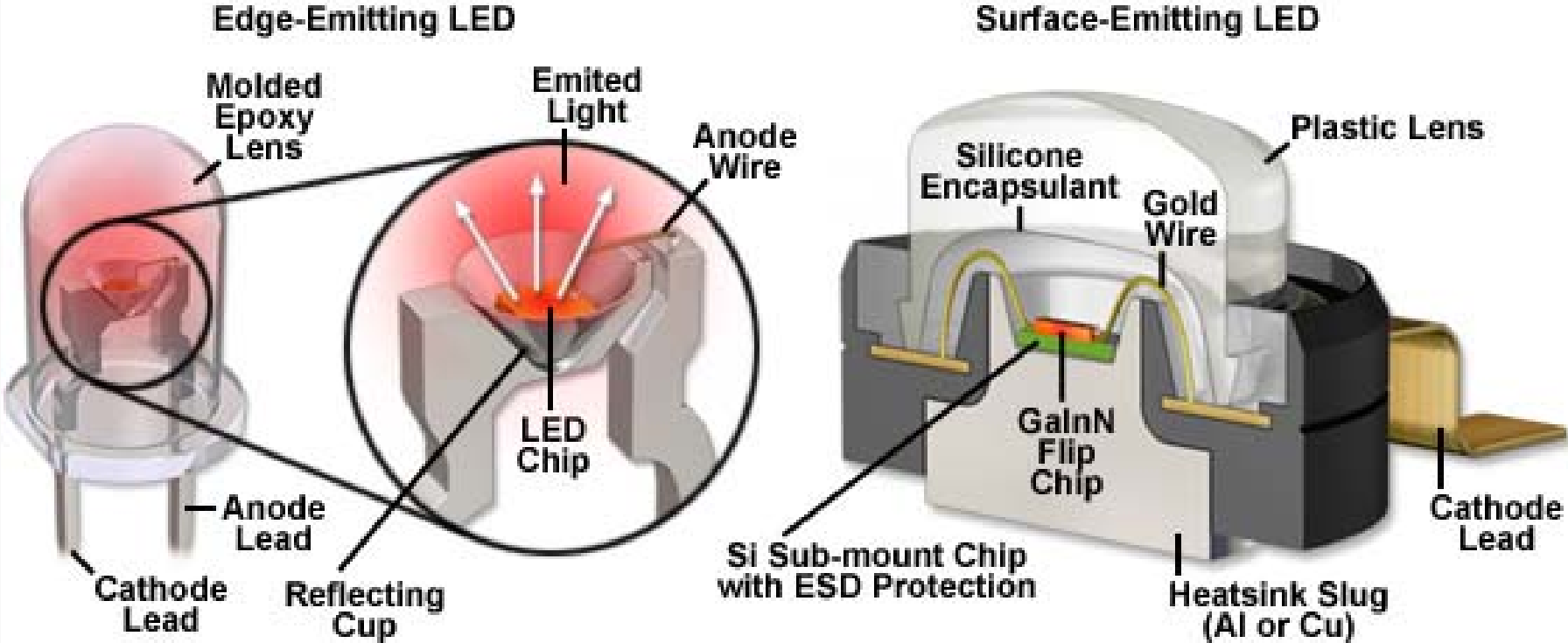


One type of LED construction is to deposit three semiconductor layers on a substrate. Between p-type and n-type semiconductor layers, an active region emits light when an electron and hole recombine. The light is produced by a solid state process called electroluminescence. In this particular design, the layers of the LED emit light all the way around the layered structure, and the LED structure is placed in a tiny reflective cup so that the light from the active layer will be reflected toward the desired exit direction.



# Two Basic Device Designs

## LED Architecture and Design Concepts



# Wavelength Selection

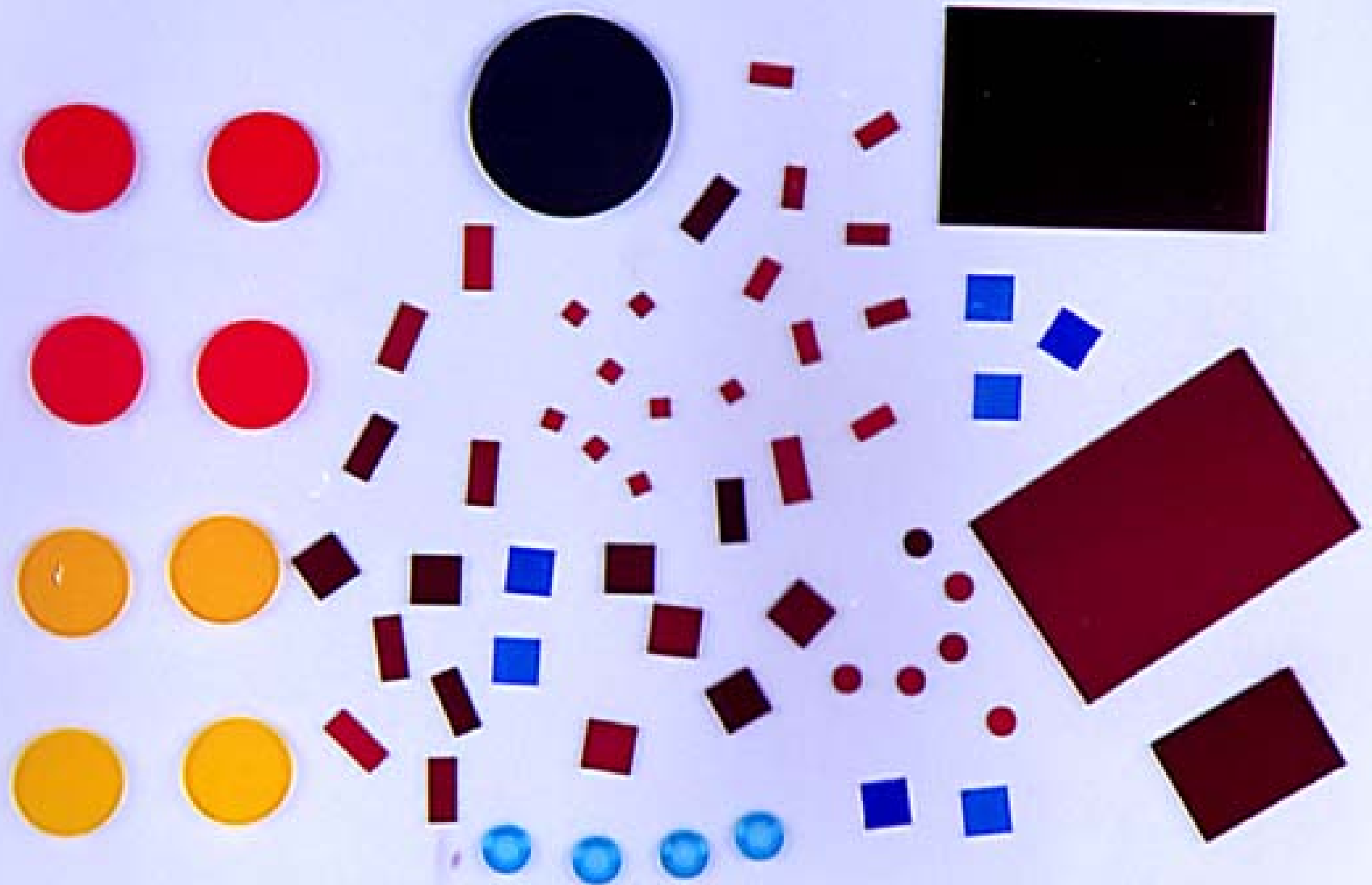
Three main approaches:

- 1) Block off unwanted radiation – optical filters
- 2) Disperse radiation & select desired band – monochromator
- 3) Modulate wavelengths at different frequencies - interferometer

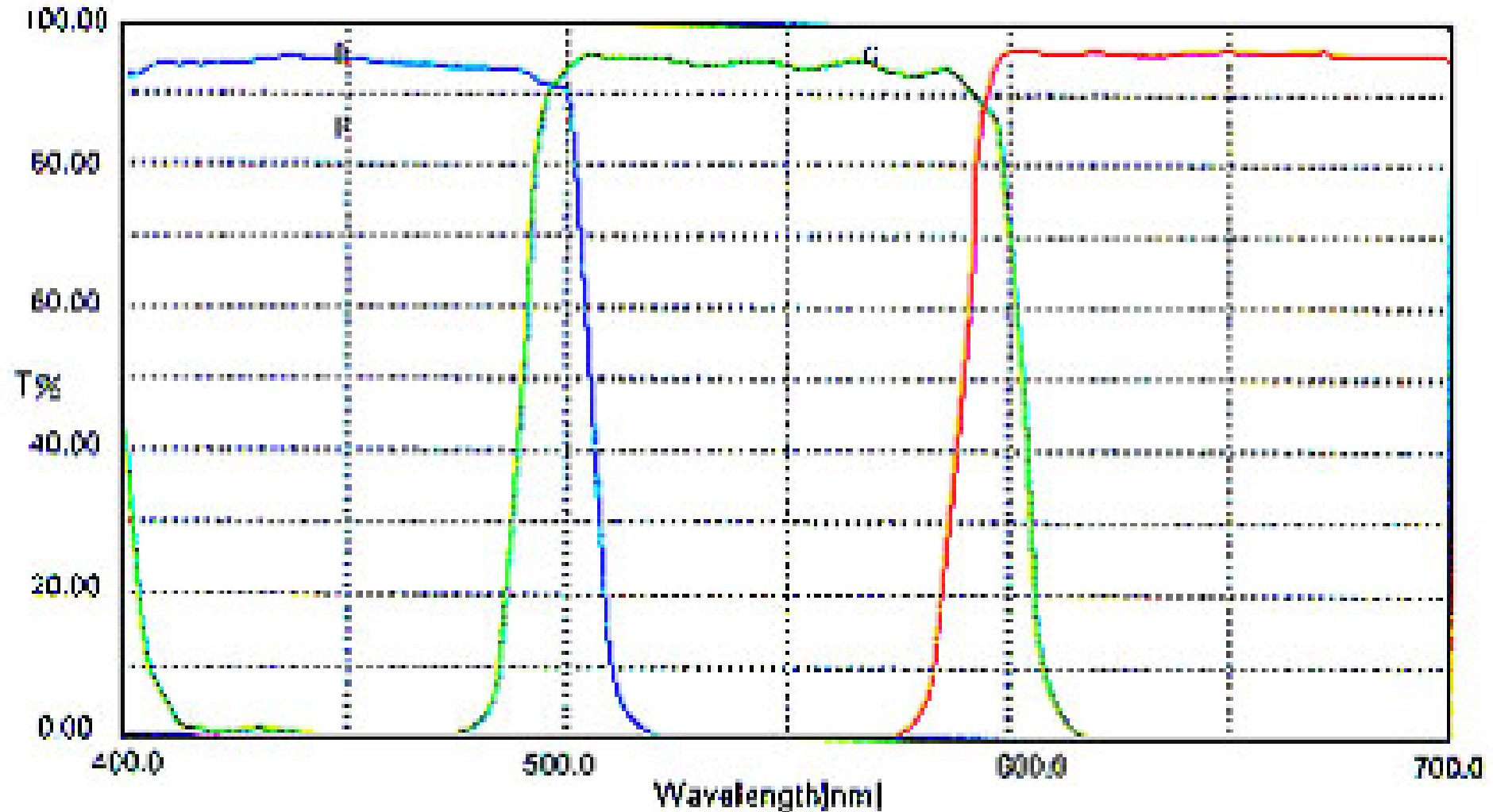
## **FILTERS**

- 1) **Absorption** – colored glass, colored film, colored solutions – cheapest way

# Assortment of Glass & Quartz Optical Filters

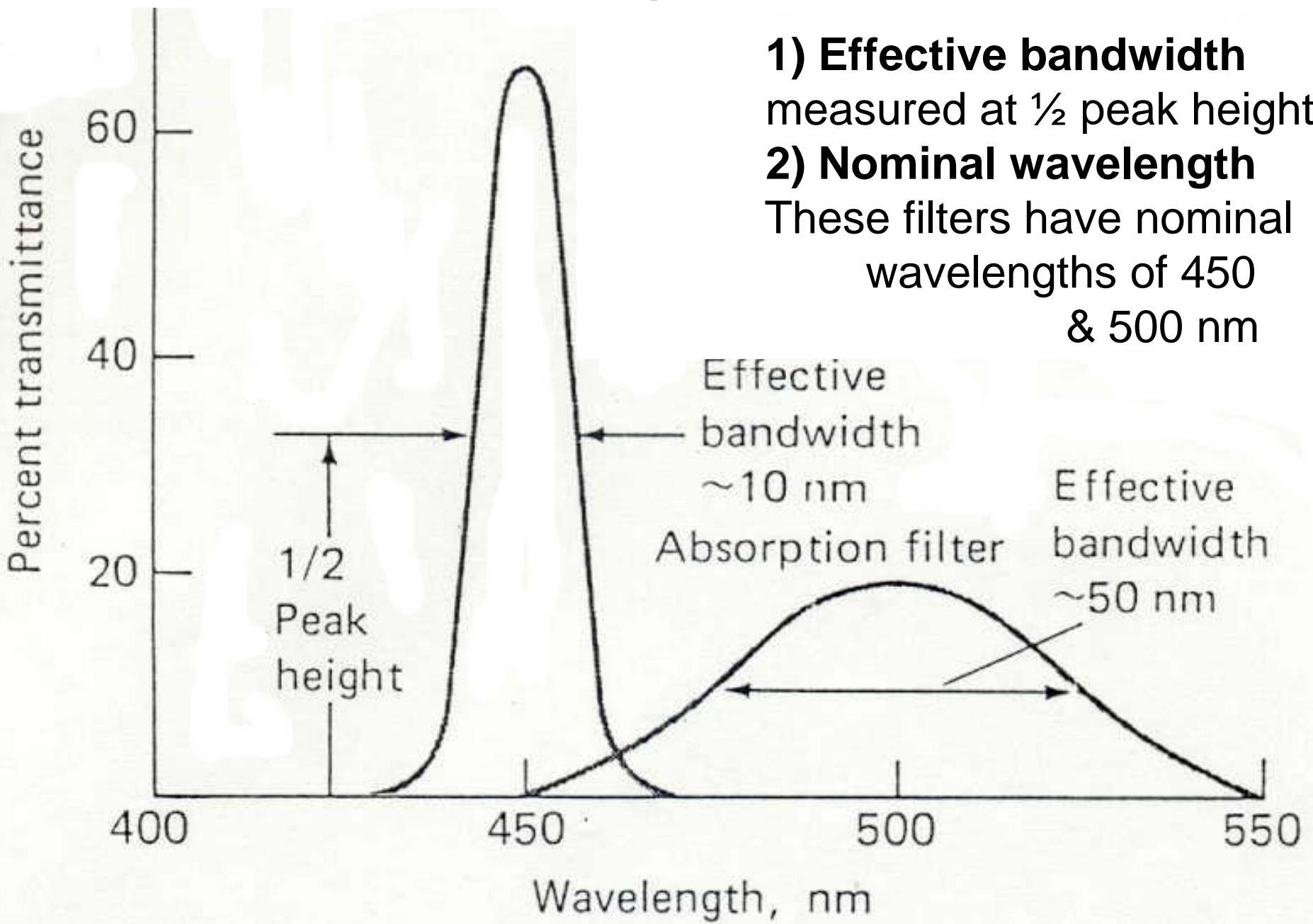


Combining two appropriate cut-off filters produces a bandpass filter. The example shown here comes from 3 filters producing bands at 500 & 600 nm.

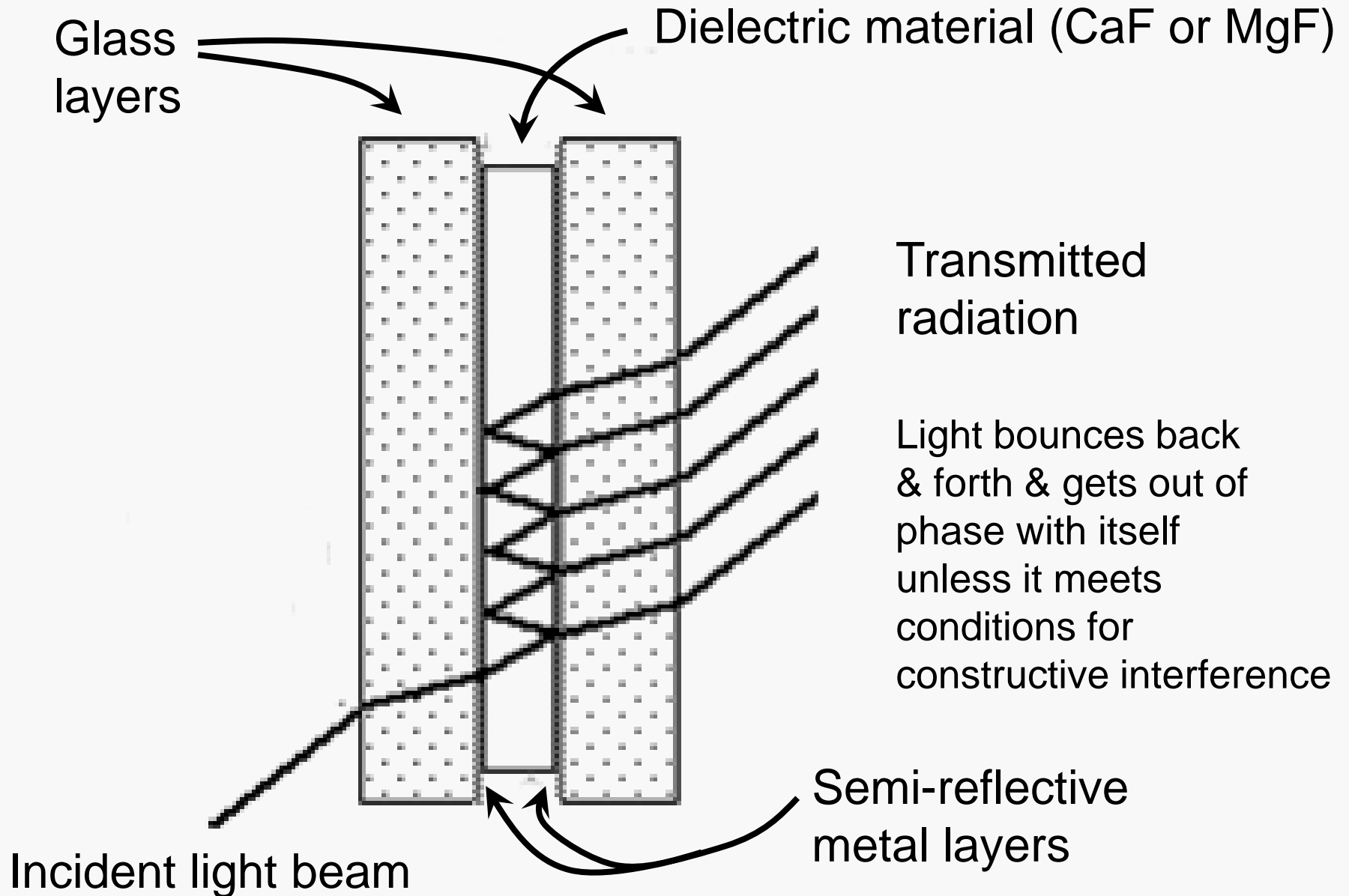


# Two terms associated with optical filters are:

- 1) **Effective bandwidth** measured at  $\frac{1}{2}$  peak height
  - 2) **Nominal wavelength**
- These filters have nominal wavelengths of 450 & 500 nm



## 2) Interference filters – usually Fabrey-Perot type



# Condition for constructive interference

$$2d = \frac{m\lambda}{\eta}$$

distance between semi-reflective layers

order of interference

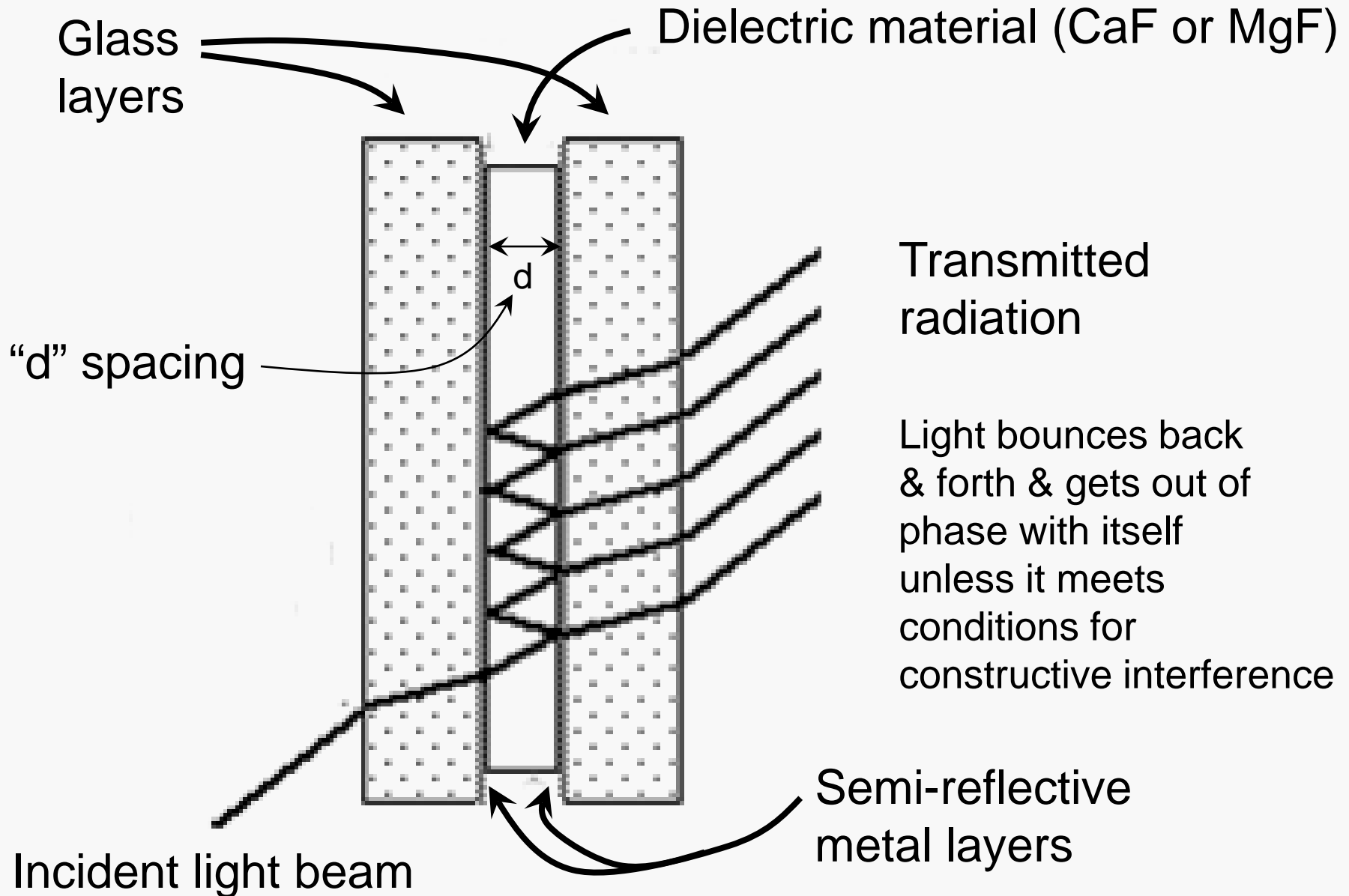
refractive index of dielectric

If distance ( $d$ ) is multiple ( $m$ ) of wavelength ( $\lambda$ ) then it won't be interfered with

Concept of Order – constructive & destructive interference causes waves with different phase angles to be eliminated except if they are multiples of each other



## 2) Interference filters – usually Fabrey-Perot type



# Condition for constructive interference

$$2d = \frac{m\lambda}{\eta}$$

distance between semi-reflective layers

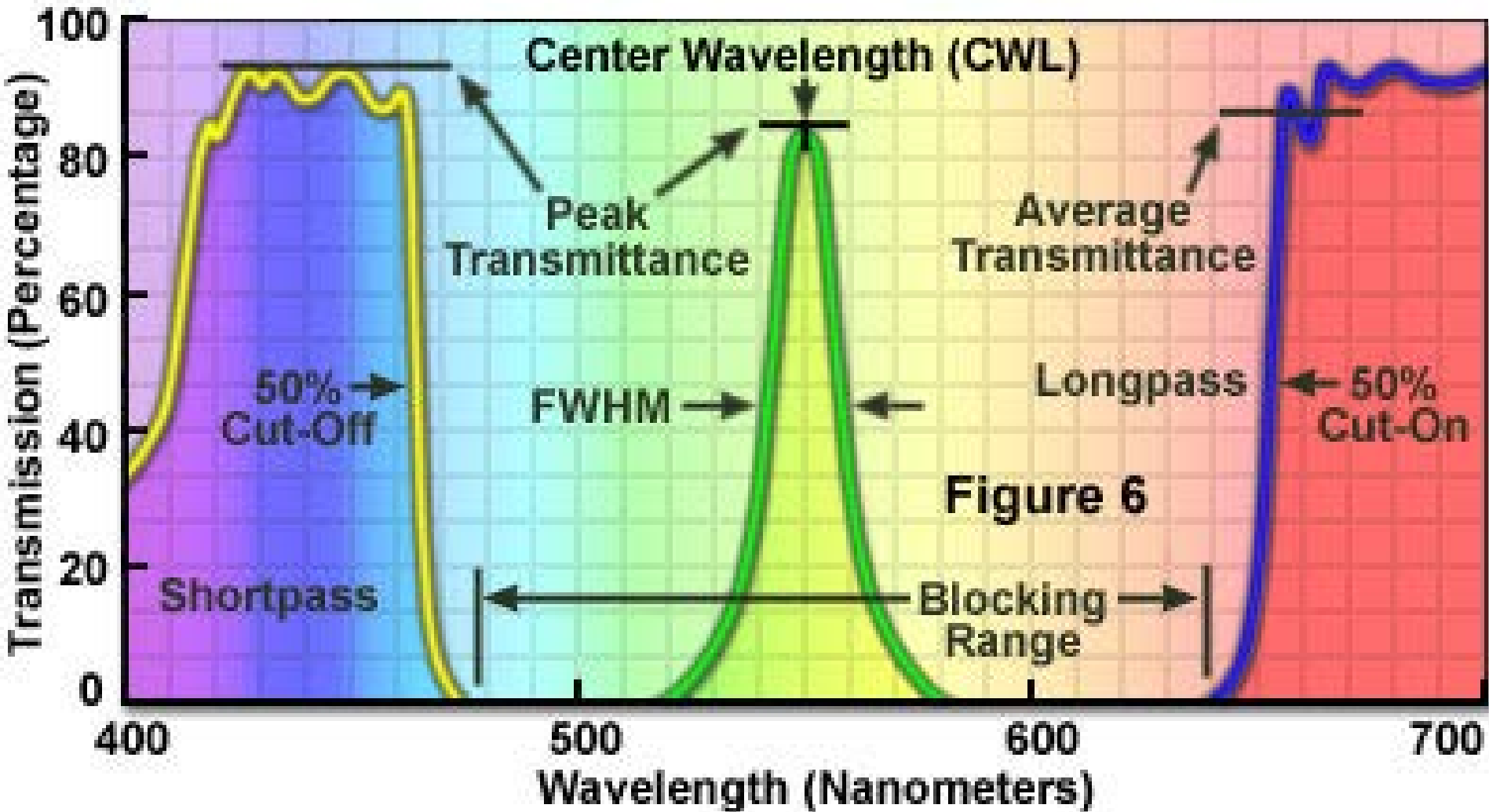
order of interference

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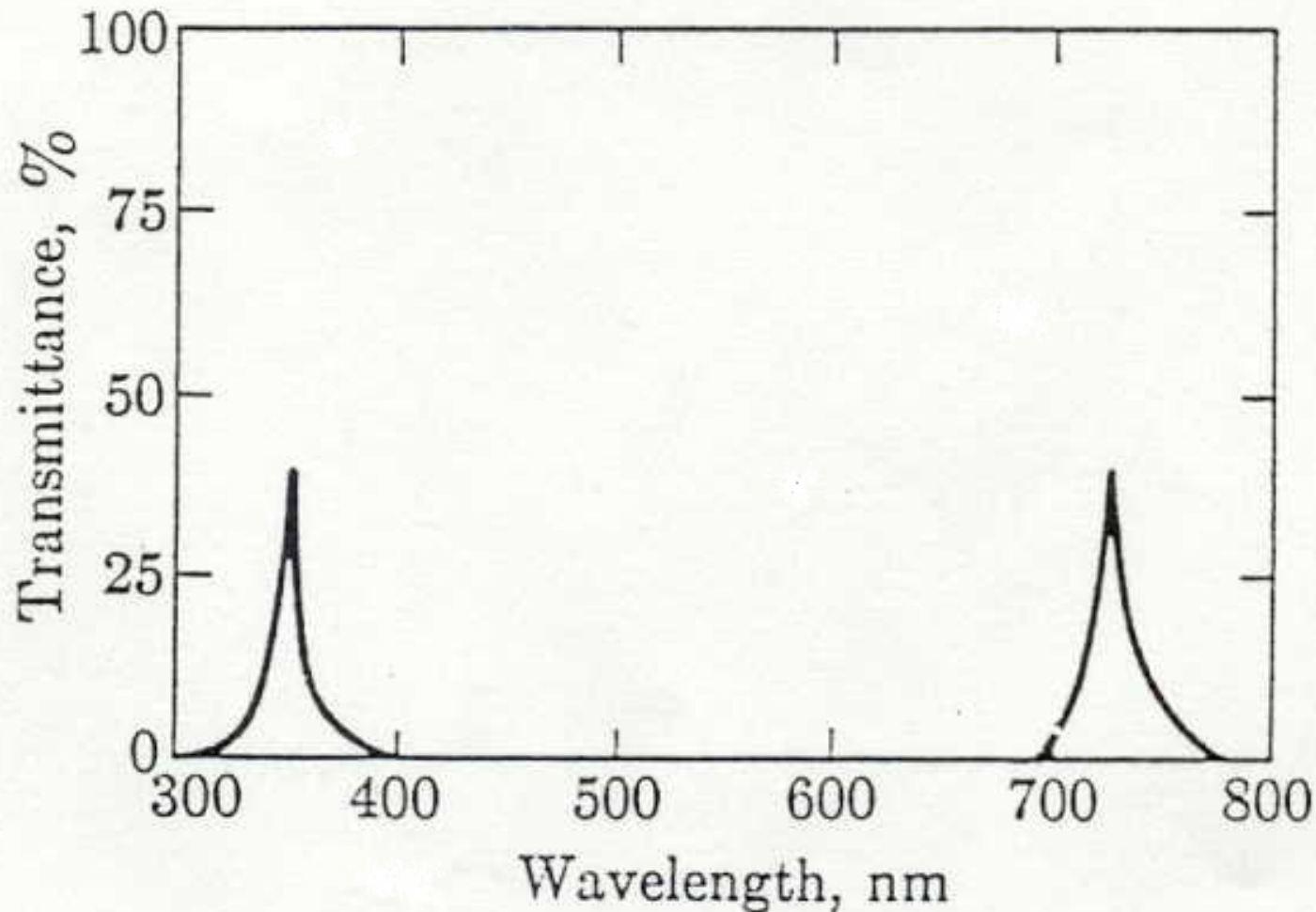
Concept of Order – constructive & destructive interference causes waves with different phase angles to be eliminated except if they are multiples of each other

# Interference Filter Characteristics and Nomenclature

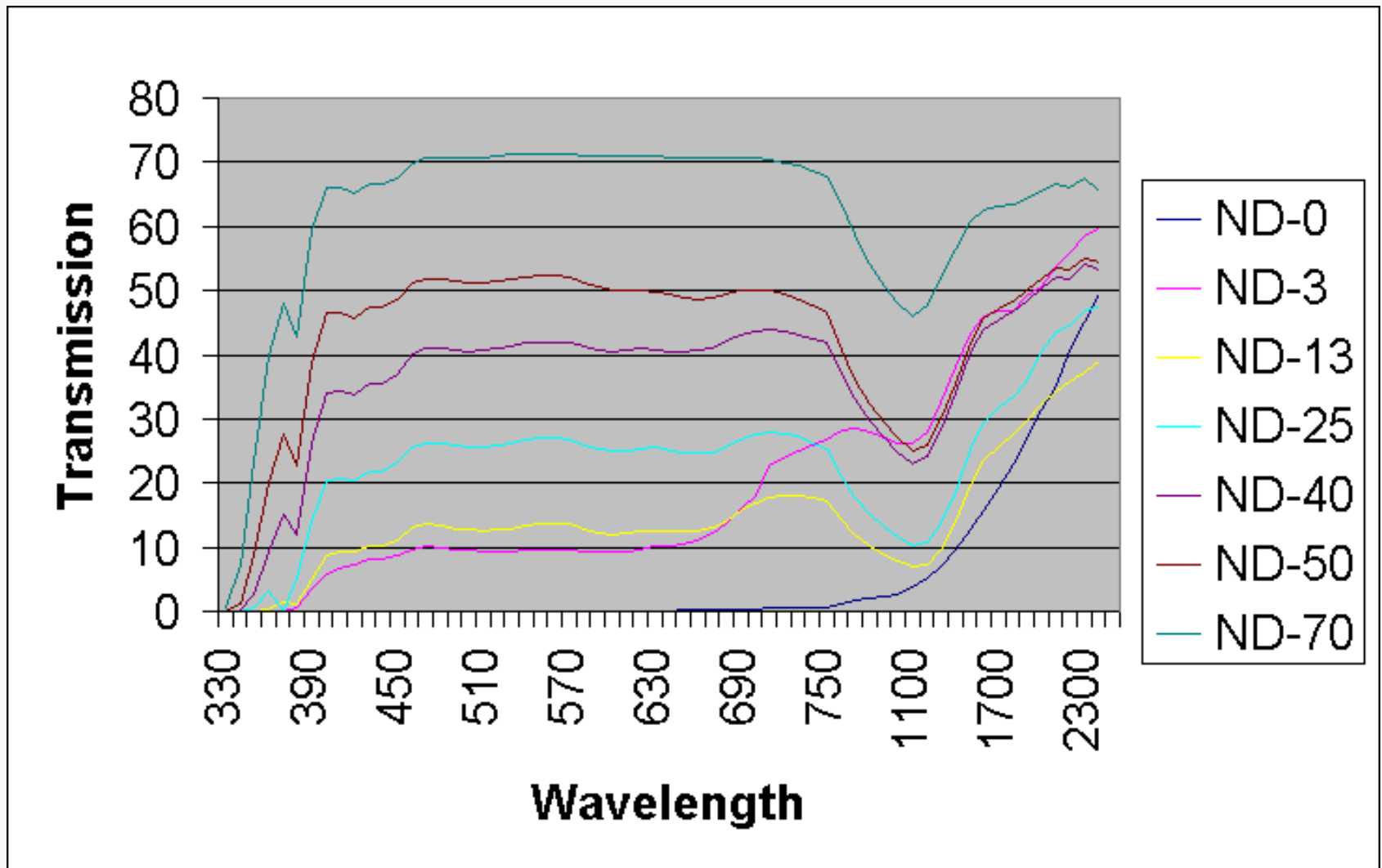


FWHM – full width at half maximum

Transmittance vs. wavelength for typical Fabry-Perot Interference filter showing first and second order  $\lambda$ 's ( $m = 1$  &  $m = 2$ )

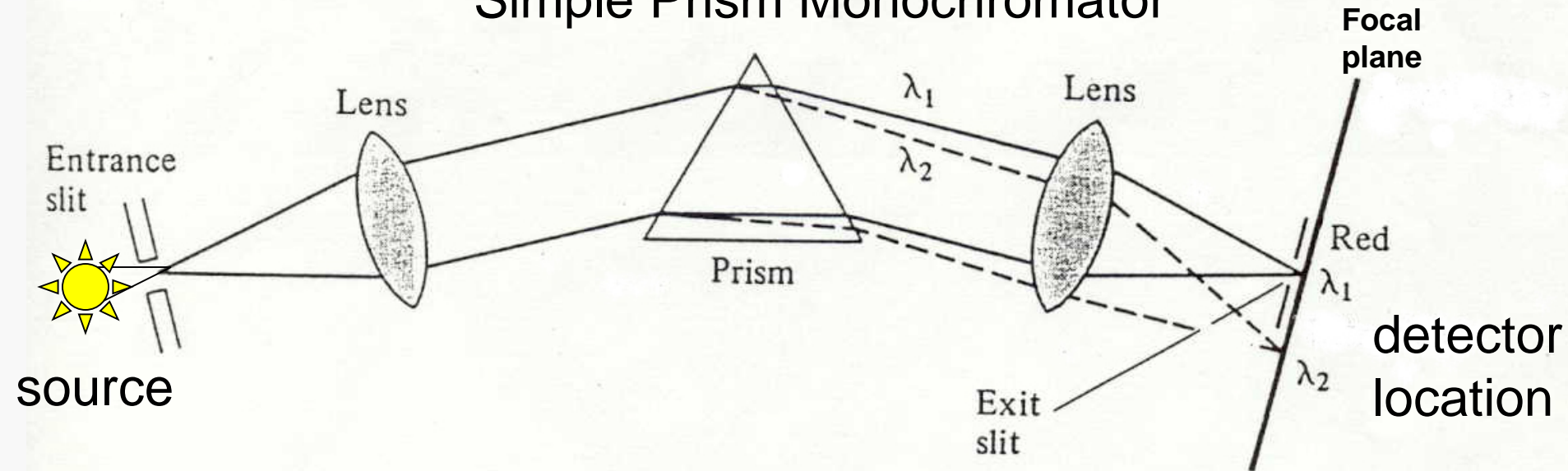


### 3) Neutral density filters – reduces intensity without any $\lambda$ discrimination



## II) MONOCHROMATORS

### Simple Prism Monochromator



**Entrance slit** allows source radiation to illuminate the first lens which collimates the light spreading it across the face of the **prism**. Prism disperses radiation into component wavelengths and the second lens focuses the spectrum at the **focal plane**. An **exit slit** selects the band of radiation to reach the detector. Dispersing element can be a **prism** or a **diffraction grating**. Focusing elements can be **lenses** or **mirrors**.

- Optical Materials – need optically transparent materials for lenses, prisms & sample cells
- In visible region – can use glass down to 350 nm
- In the UV region – quartz is material of choice
- In the IR region – NaCl, KBr, etc. The heavier the atoms of the salt, the farther into the IR region (i.e., longer  $\lambda$ ) before significant absorption occurs

Problem – sensitivity to moisture

**Resolution** – ability to distinguish as separate, nearly identical frequencies; measured in terms of closest frequencies  $\Delta\nu$  in a spectrum that are distinguishable

$$R = \frac{\nu}{\Delta\nu} \quad \text{or} \quad \frac{\lambda}{\Delta\lambda} \quad (\text{both dimensionless})$$

**Dispersion** – spread of wavelengths in space

Angular Dispersion – angular range  $d\theta$  over

which waveband  $d\lambda$  is spread  $\rightarrow \frac{d\theta}{d\lambda}$  in  $\frac{\text{rad}}{\text{nm}}$



**Linear Dispersion** – distance  $dx$  over which a waveband  $d\lambda$  is spread in the focal plane of a monochromator  $\rightarrow$   $\frac{dx}{d\lambda}$  in  $\frac{\text{mm}}{\text{nm}}$

**Linear Reciprocal Dispersion** – range of  $\lambda$ 's spread over a unit distance in the plane of a monochromator  $\rightarrow$   $\frac{d\lambda}{dx}$  in  $\frac{\text{nm}}{\text{mm}}$

Related terms **spectral slit width** or **bandwidth** or **bandpass** = range of  $\lambda$ 's included in a beam of radiation measured at half max intensity

# Lenses – lens equation (for a thin lens)

$$\frac{1}{f} = (\eta - \eta') \left( \frac{1}{r_1} - \frac{1}{r_2} \right)$$

Where

$f$  = focal length

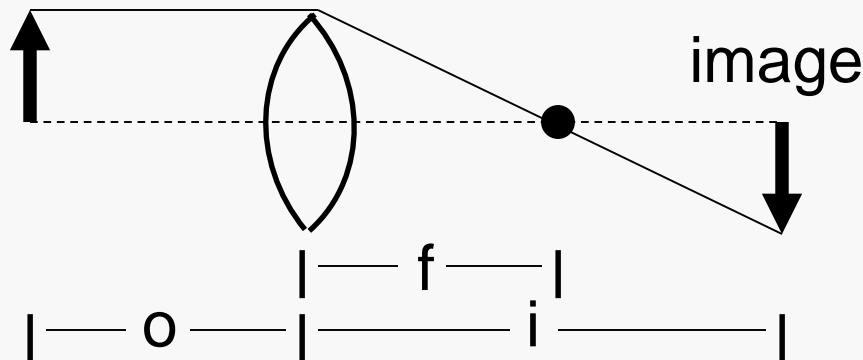
$\eta$  = refractive index of lens material

$\eta'$  = refractive index of adjacent material

$r_1$  = radius of curvature of first surface

$r_2$  = radius of curvature of second surface

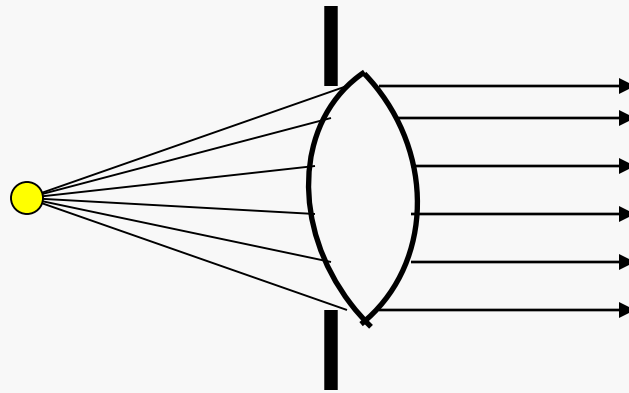
object



$$\frac{1}{f} = \frac{1}{i} - \frac{1}{o}$$

distance to image      distance to object

Point source  
at  $f$  (focal point  
or focal length)



Parallel  
beams

**Focal length** is important specification of a monochromator

$$f/ \text{ (f number)} = \frac{\text{focal length (f)}}{\text{lens clear aperture}}$$

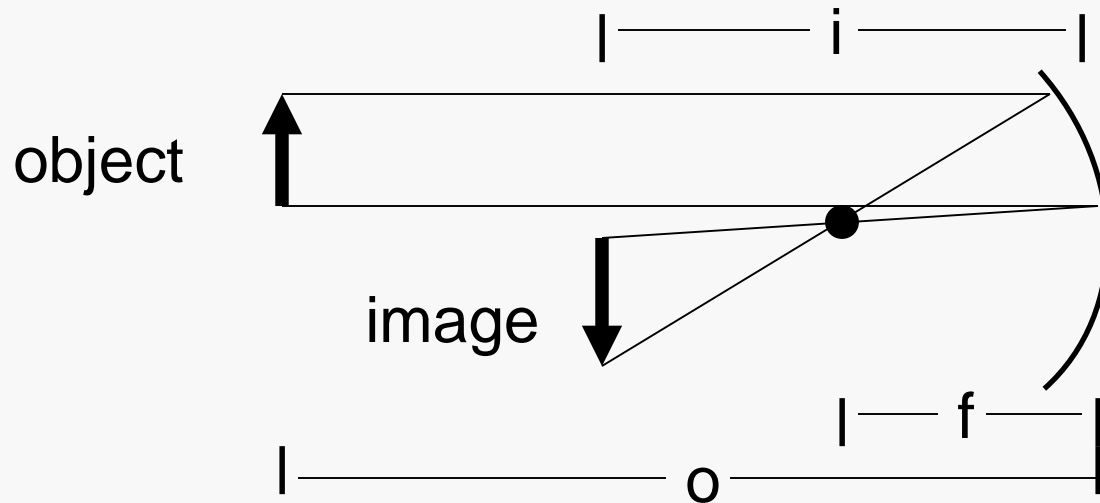
- $f/$  is measure of light gathering power
- Larger  $f/$  means getting less light
- Light gathering power  $\sim 1/(f/)^2$

$f/$  of a monochromator is important if have a weak source. For lenses in series, the smallest  $f/$  sets the overall  $f/$  for the system.

### Lens Summary:

- 1) rugged, easy to use, inexpensive
- 2) can have chromatic aberrations = focal length depends on  $n$  which varies with  $\lambda$  – solution is to fabricate lenses out of a composite glasses so  $n$  is constant with  $\lambda$ . This increases cost
- 3) Each lens results in some light loss due to reflection

**Mirrors** – high quality instruments use front-surfaced mirrors for focusing which avoids chromatic aberrations

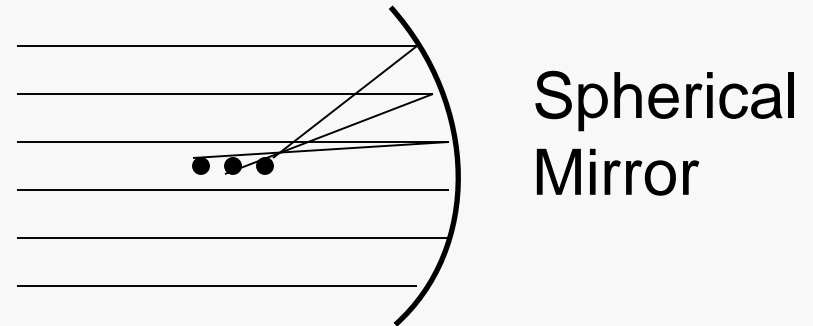


Spherical  
Mirror

$$\frac{1}{f} = \frac{1}{i} + \frac{1}{o}$$

Problem → spherical aberrations

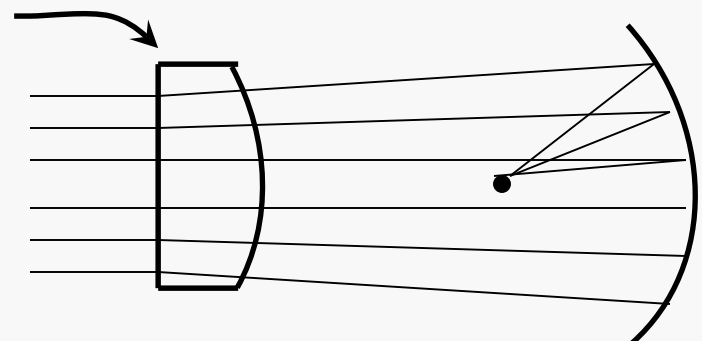
Mirror problem → spherical aberrations –  $f$  gets shorter as rays go off axis (this can actually be a problem for lenses also)



Several solutions:

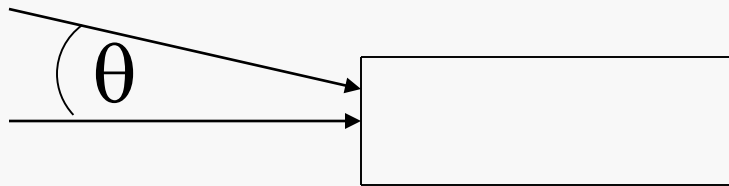
- 1) Just use center of mirror (or lens) – but this reduces the light-gathering power ( $f/$  increases)
- 2) Use parabolic mirror (harder to make → \$\$)
- 3) Use Schmidt Corrector

- distorts light beams  
so they come to a  
good focus



**Astigmatism** – for an object off axis, the horizontal and vertical focuses differ – get two images displaced from each other

**Numerical Aperture (NA) =  $\sin \theta$**



angle over which a device accepts light

**Slits** – entrance and exit slits

Slits affect energy throughput & resolution

Decrease slit width → gain resolution & lose energy throughput

Open slits wider → increase signal (throughput) but lose resolution

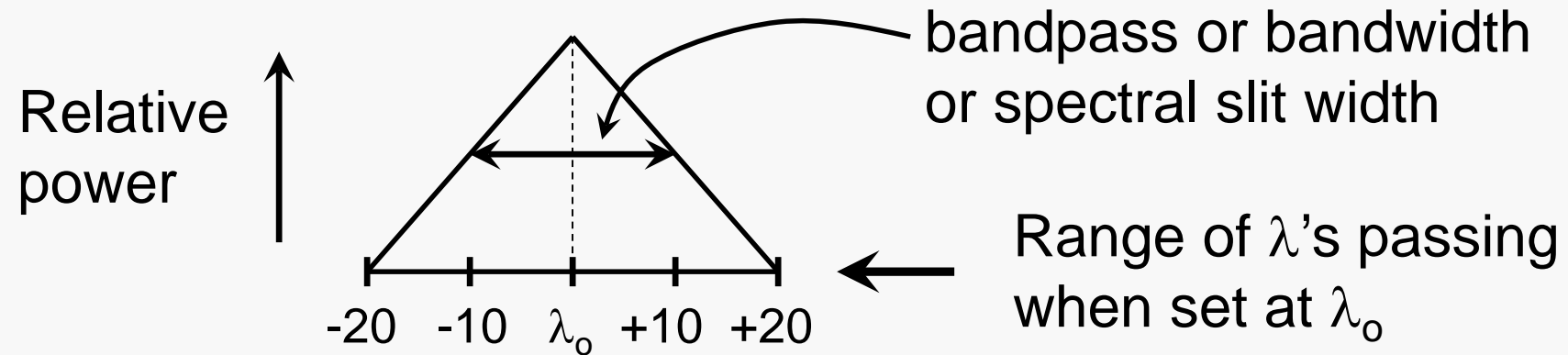
Energy throughput must be sufficient for detector to measure signal with adequate precision.

In practice the image of the entrance slit in a monochromator should just fill the exit slit for optimum conditions. Otherwise the larger slit establishes (i.e, limits) the resolution and the smaller slit establishes (or limits) the energy throughput.

There is a theoretical minimum for slit widths imposed by diffraction.



Light exiting a monochromator exit slit has a triangular distribution



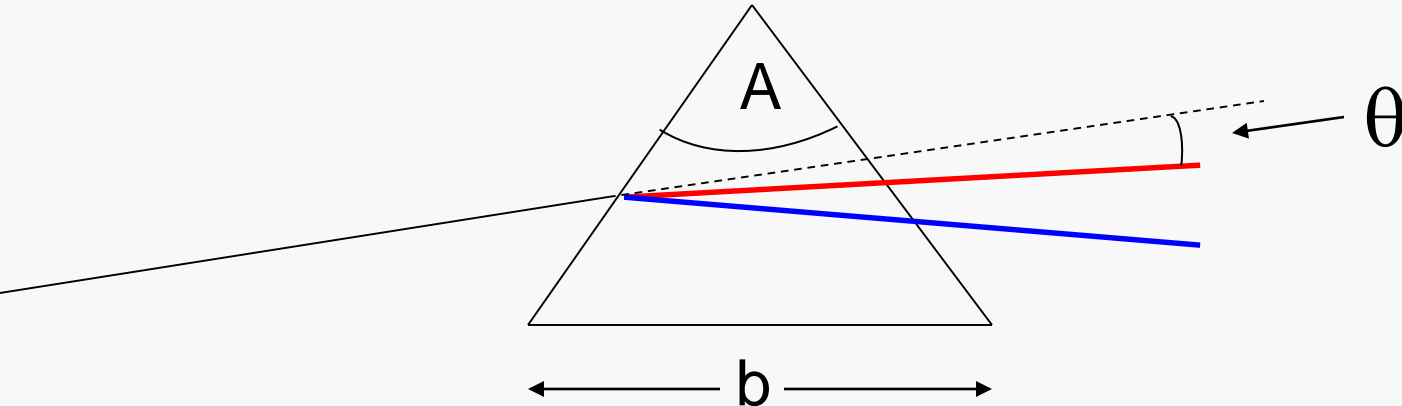
**Optical Efficiency** = throughput x resolution  
Good criterion for comparing optical systems

Prism Monochromator < Grating Monochromator < Interferometer

# Dispersion Devices

## 1) Prisms

A = apical angle  
b = base length



Light bends due to  $\eta$

$$\eta = f(\lambda)$$

function of  
prism design  
(i.e. angle A)

Angular Dispersion =  $\frac{d\theta}{d\lambda} = \frac{d\theta}{d\eta} \times \frac{d\eta}{d\lambda}$

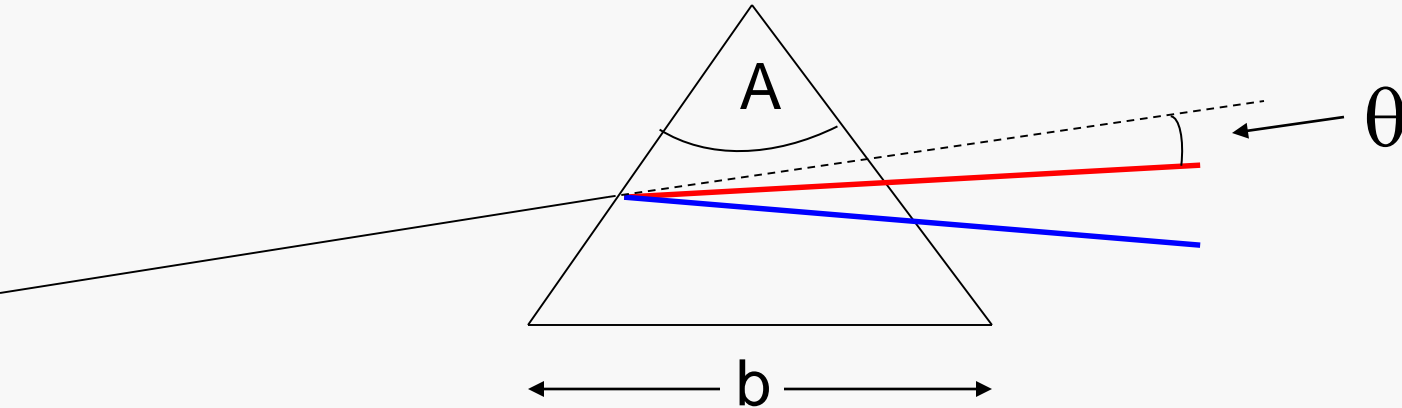
function of prism material

Angle changes with  $\lambda \rightarrow$  the larger the better

# Dispersion Devices

## 1) Prisms

A = apical angle  
b = base length



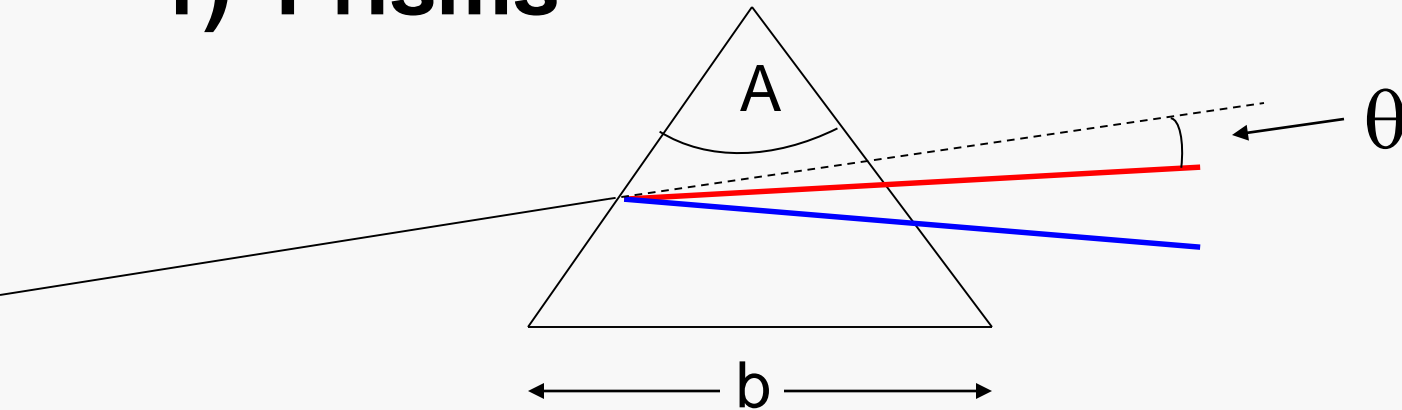
Increasing  $A \rightarrow \frac{d\theta}{dn}$  increases but internal

reflection is also greater (typical  $A$  value is  $60^\circ$ )

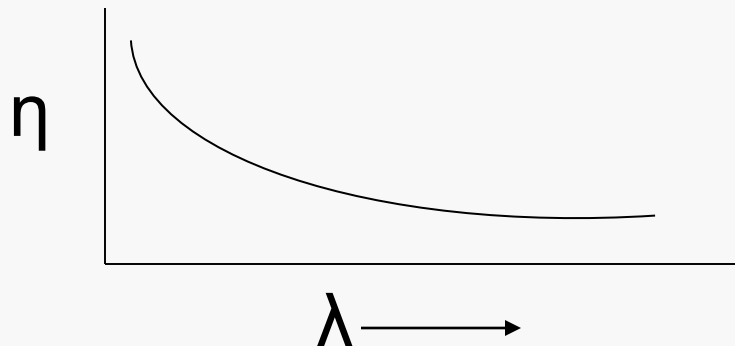
# Dispersion Devices

## 1) Prisms

A = apical angle  
b = base length



$\frac{dn}{d\lambda}$  depends on material,  $\frac{dn}{d\lambda}$  greatest at shorter  $\lambda$



Linear Dispersion  $\left( \frac{\text{mm}}{\text{nm}} \right) = f \frac{d\theta}{d\lambda}$

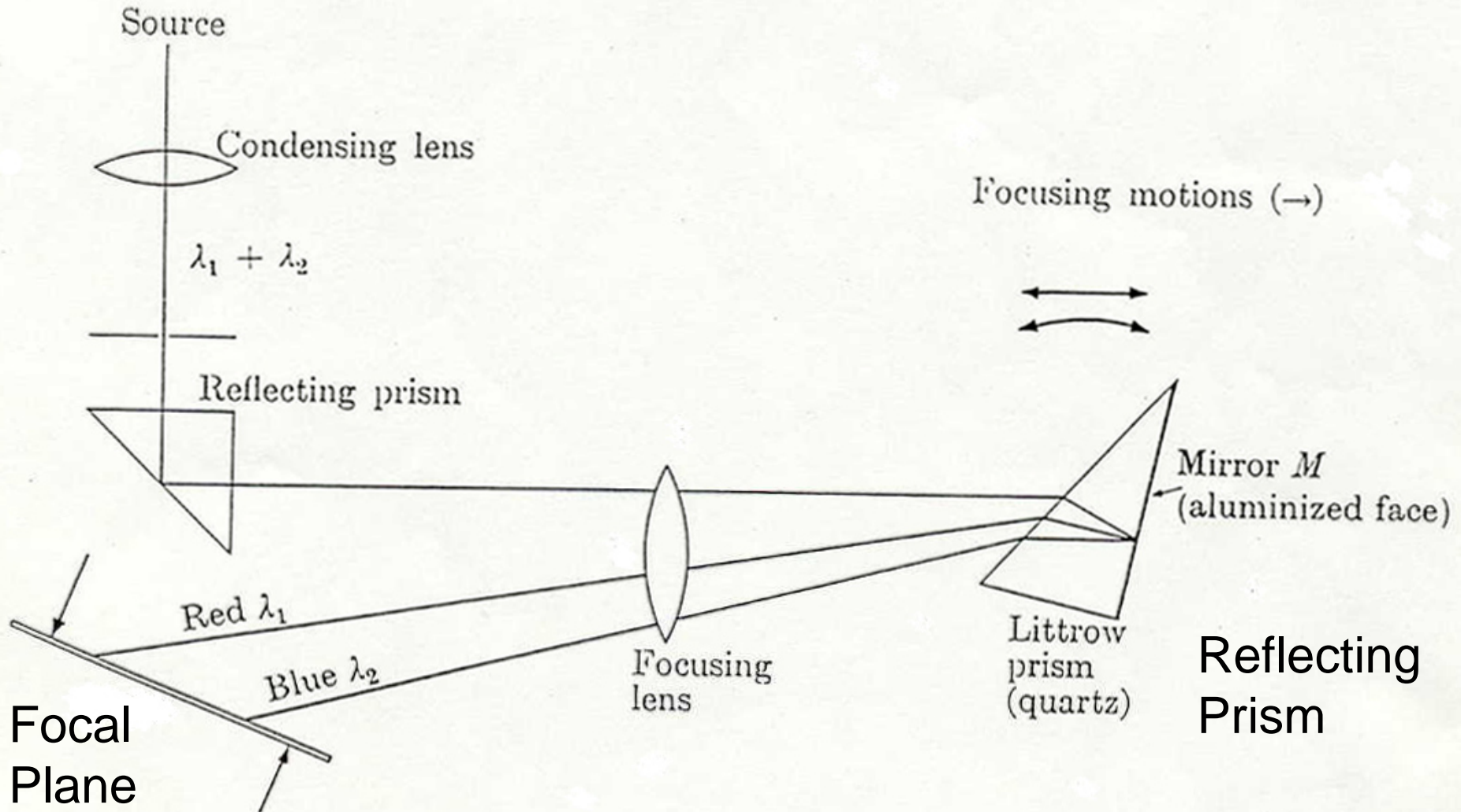
Depends on angular dispersion and focal length

For constant bandwidth, slit widths must be varied with  $\lambda$  to compensate for variations in  $d\eta/d\lambda$

Stated another way, linear dispersion changes in different regions of the spectrum

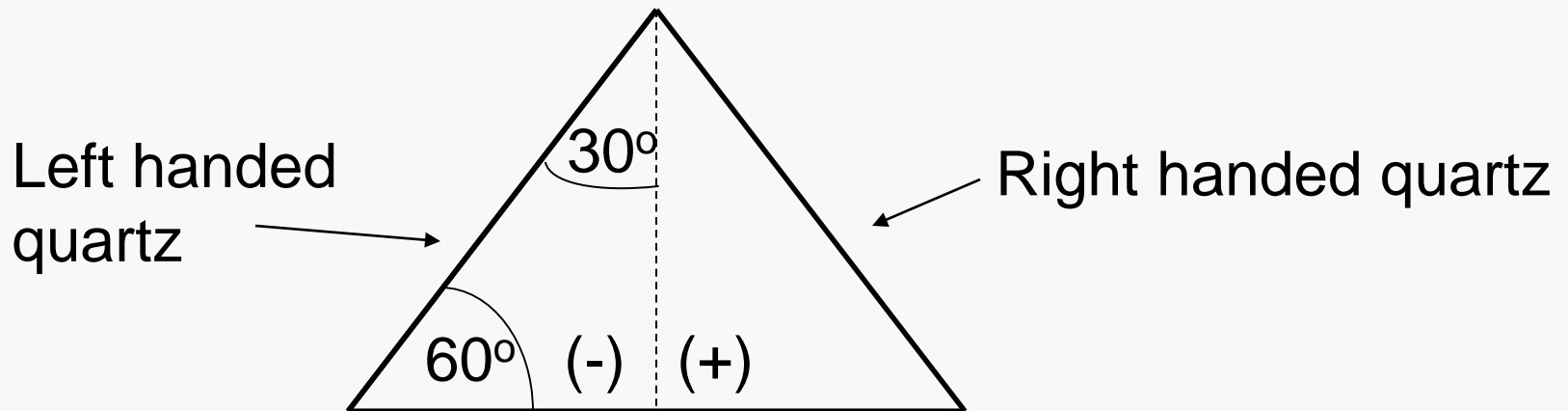
# Kinds of Prisms

## Littrow Prism & Mounting – compact design

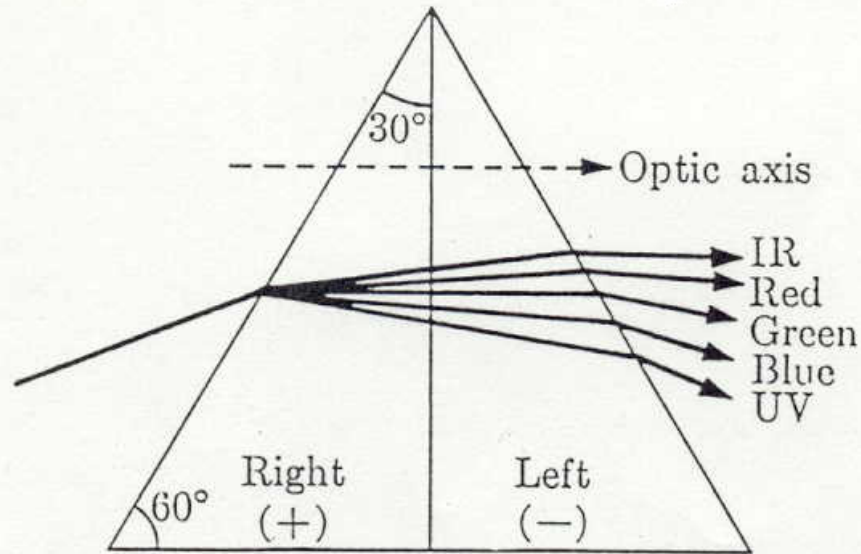


Problem with quartz prisms is that quartz is optically active (optically anisotropic). With the Littrow prism or any reflecting prism, the light travels essentially the same path in both directions and this effect is eliminated.

## Cornu Prism



## Another view of a Cornu prism

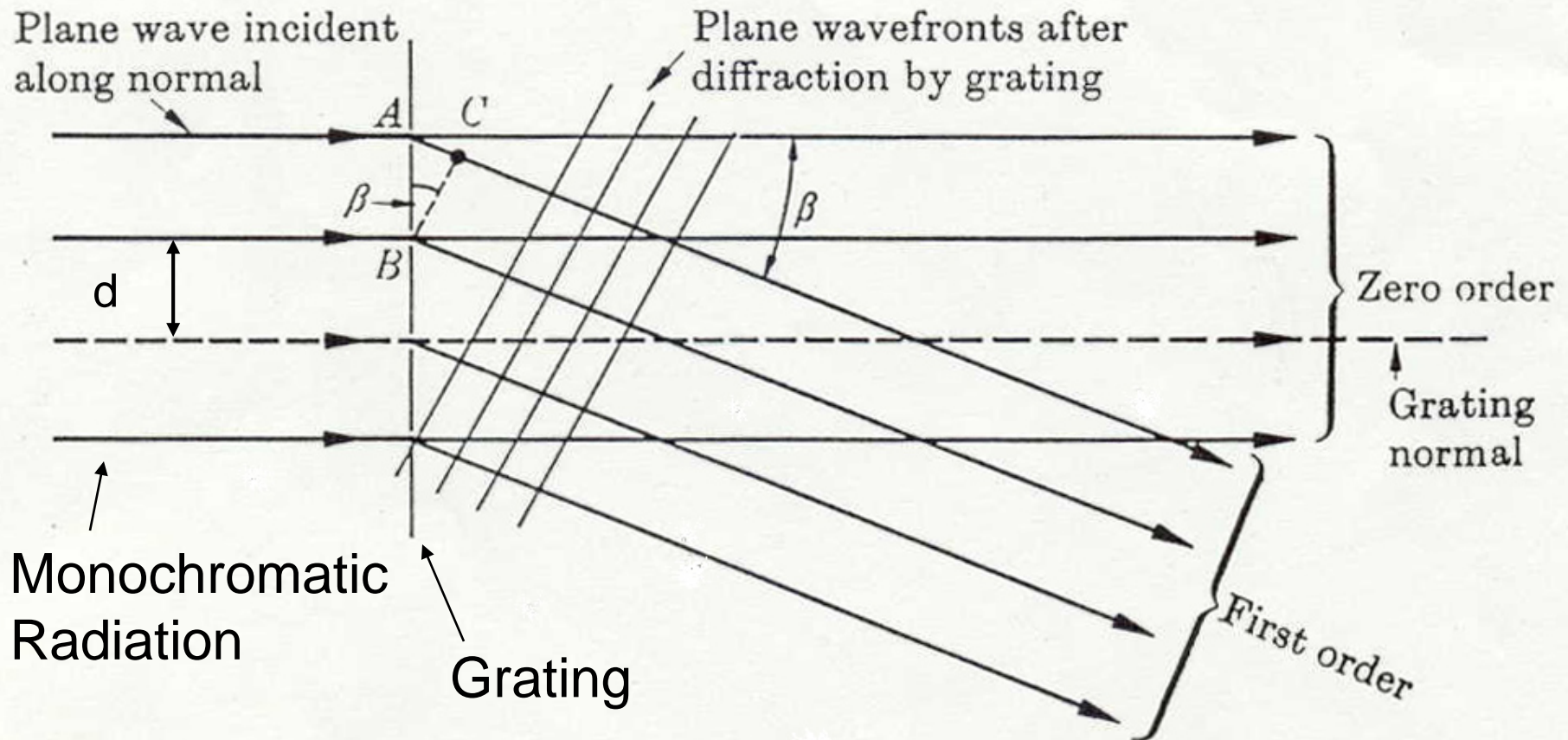


Cornu prism of quartz. The circular double refraction (not shown) produced by the first half is just offset by the equal and opposite effect in the second half. Two overlapping spectra would result if the prism were all of one kind of crystalline quartz.

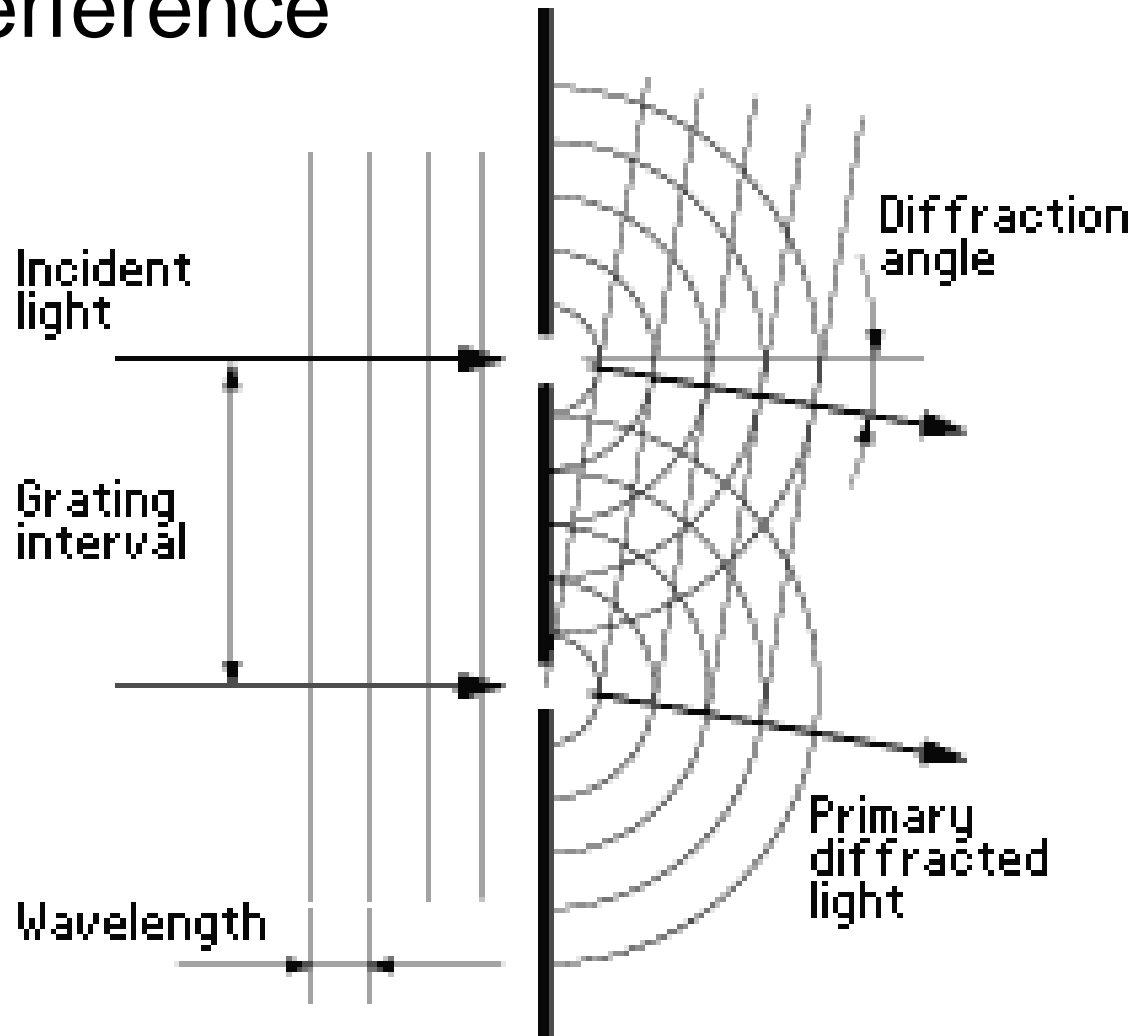


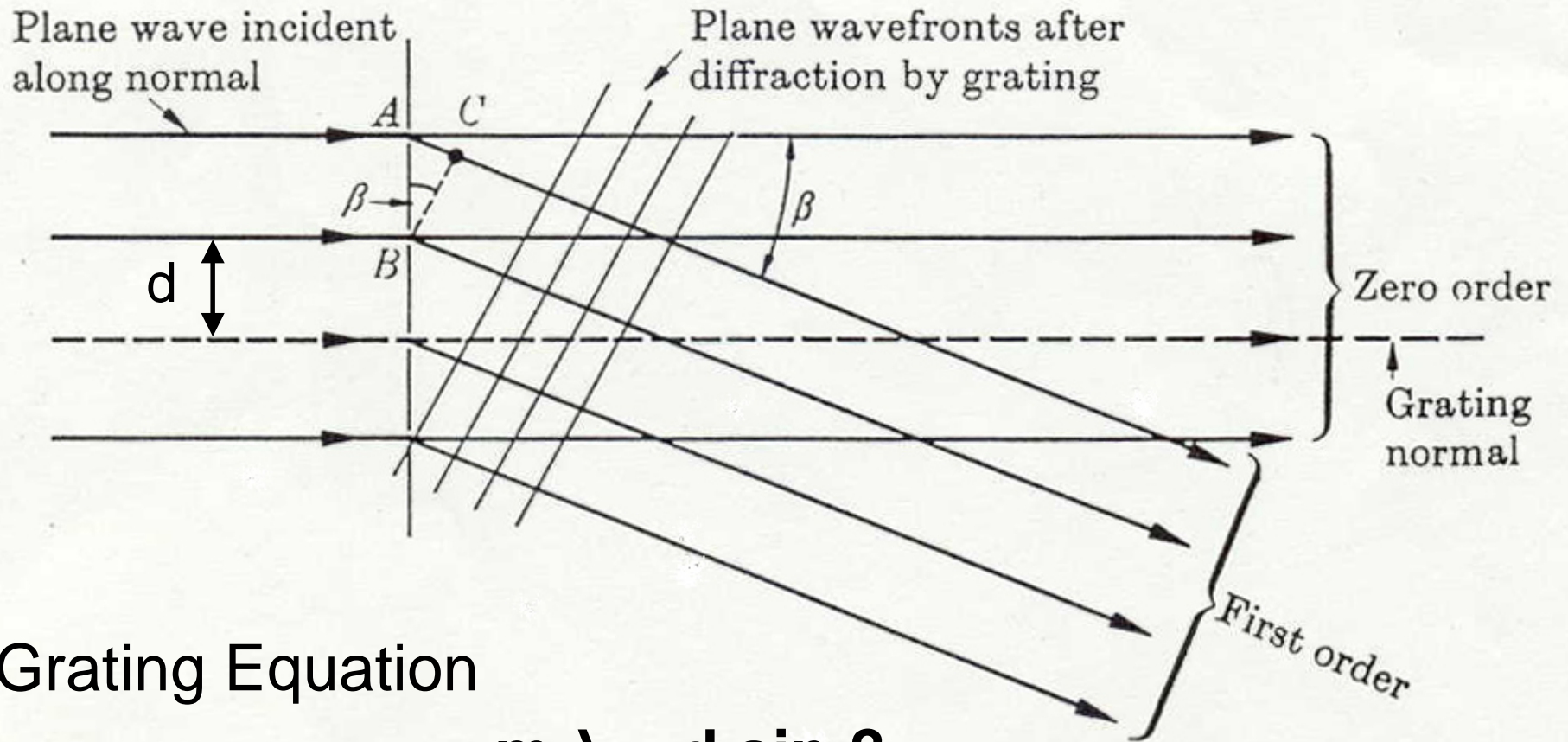
# Gratings – based on diffraction & interference

Transmission Gratings & Reflection Gratings consist of a series of grooves in glass or quartz or a mirror (usual kind)



# Gratings work on the principles of diffraction & interference





Grating Equation

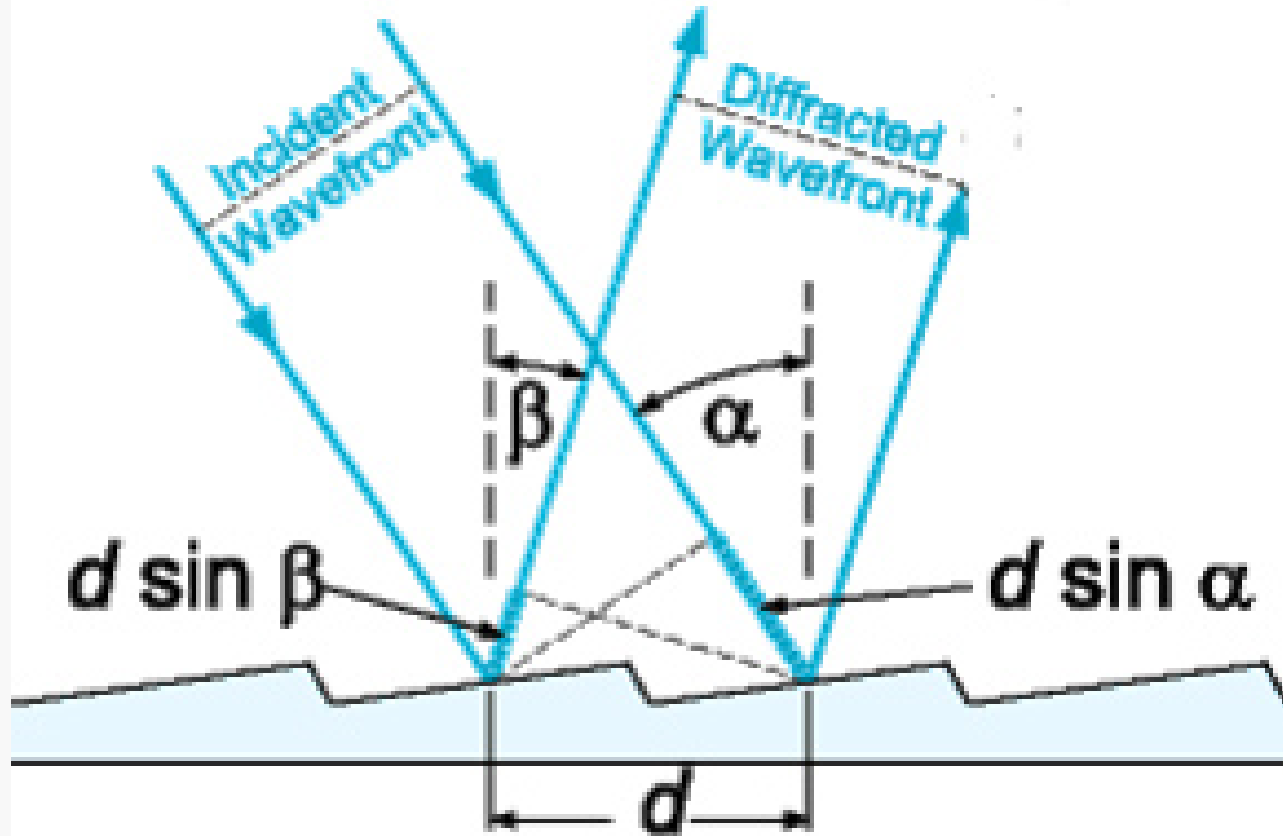
$$m \lambda = d \sin \beta$$

Condition for constructive interference

$AC =$  extra distance light travels for first order  $= d \sin \beta$

For higher orders the distance gets longer

# Reflection grating with non-normal incidence



$$m\lambda = d (\sin \alpha \pm \sin \beta)$$